Adjustable sutures in eyelid surgery for ptosis and lid retraction

J R O Collin, B A O'Donnell

Abstract
New techniques are described and illustrated for ptosis and lid retraction surgery in which the sutures holding the upper eyelid position are adjustable postoperatively. In the anterior approach, the sutures pass from the levator muscle through the anterior surface of the tarsal plate at the position of the skin crease and are tied at the skin crease incision. In the posterior approach, the sutures pass from the levator muscle through the cut upper edge of the tarsal plate and are tied at the position of the desired skin crease. The techniques allow the lid height to be adjusted for over and undercorrection medially, centrally, laterally, or overall if required, thereby achieving the optimal surgical result without altering the position of the skin crease. Suture adjustment is a simple procedure and is recommended at 24 hours.

The majority of operations to correct upper lid retraction or ptosis are successful. However, the results are not always predictable with part or all of the lid at an unsatisfactory height postoperatively. With ptosis surgery, the lid height may be relatively easily lowered by a minor second procedure and lid traction if posterior approach surgery was used, but the amount of postoperative correction is limited. Surgeons less familiar with the posterior approach technique do not have this advantage. Following the more commonly performed anterior approach surgery, if the postoperative lid height is unsatisfactory, the levator must be reset with new sutures and this revision is more complicated.

With upper lid lowering for lid retraction,
some adjustment in the postoperative lid height is possible with the use of reverse Frost sutures placed in the upper lid margin and taped to the cheek for 24 to 72 hours. The lid height may be inspected at 1, 2, and 3 days, and the reverse Frost suture removed if the lid appears overcorrected. The results of surgery for thyroid lid retraction are frequently unpredictable postoperatively with problems of persisting lateral flare, and over and undercorrection. A means of postoperative lid adjustment would frequently be very desirable.

The following describes techniques for anterior and posterior surgery for lid retraction and ptosis. The techniques are new with postoperative adjustment of the lid height possible.

Material and methods

Technique for anterior approach adjustable eyelid sutures for correction of lid retraction and ptosis (Figs 1A, B, C)

Routine anterior approach surgery is performed to expose/mobilise the upper lid retractor muscles. The skin crease is marked at the desired height with a skin marking pen and an incision is made through skin and orbicularis. The dissection is continued to expose the tarsal plate. The septum is opened, the aponeurosis is exposed, and the preaponeurotic fat pad is released as required. In the correction of lid retraction, the lateral horn is cut and the medial horn weakened as required. The levator muscle may be isolated and a z-myotomy performed for additional recession. Whitnall’s ligament may need to be weakened. Dissecting the levator and Muller’s muscles off the common sheath will further recess the upper lid retractors. In ptosis correction, the levator aponeurosis, levator muscle, or levator/Muller’s complex is mobilised. The horns are not usually cut. Preaponeurotic fat is freed as necessary. If a levator resection is required, the aponeurosis is dissected off Muller’s muscle to 10–12 mm above the tarsal plate, the origin of Muller’s muscle.
This is then incised to expose common sheath/upper fornix, and blunt spreading with scissors proximally will mobilise the levator muscle from the underlying common sheath. Alternatively, Muller’s muscle and levator aponeurosis may be dissected as a single layer from the conjunctiva. The former method will leave Muller’s muscle intact and functional postoperatively. Three or four double armed 5/0 polyglycolic acid sutures are placed through the levator aponeurosis/upper lid retractor complex. These are then passed in and out through the anterior surface of the tarsal plate at the height of the desired skin crease. This bite of the anterior surface should be deep (but not full thickness) to prevent pull out when adjusting. One end of each suture is then passed through the upper edge of the skin incision and one end through the lower edge. A single throw then bow knot is tied after setting the lid height. This suture also closes the wound. Additional sutures may be needed for skin/orbicularis closure. Intraoperative haemostasis should be meticulously carried out and firm padding is recommended to minimise postoperative oedema. The lid sutures are only tied when the surgeon is satisfied with the position of the lid. Thus, adjustable sutures are not an alternative to careful surgery, but should be used in conjunction with the surgeon’s usual intraoperative efforts to set the lid at the correct height.

TECHNIQUE FOR POSTERIOR APPROACH
ADJUSTABLE EYELID SUTURES FOR CORRECTION OF LID RETRACTION AND PTOSIS (FIGS 2A, B, C)
Routine posterior approach surgery is performed to expose and/or mobilise the upper lid retractor muscles. For the correction of ptosis, the position of the skin crease is marked on the skin, then the lid is everted over a Desmarres retraction. An incision within 1 mm of the skin crease height is made in the tarsal plate. For the correction of lid retraction, the incision is made at the superior edge of the tarsus. In both cases, the proximal cut edge is retracted and dissection is performed posterior to the levator aponeurosis to expose the ‘white line’, where the levator aponeurosis is reflected back on itself. This is incised transversely to expose the anterior surface of the aponeurosis andlevator muscle. The position of the Desmarres retractor is altered to retract the upper lid and preaponeurotic fat pad, which is freed as necessary. In correction of lid retraction, the lateral horn of the levator is cut and the medial horn is weakened as required. The levator muscle may be isolated and a z-myotomy can be performed. Dissection of the levator and Muller’s muscles off the common sheath may further recess the upper lid retractor muscles. Whitnall’s ligament may need to be divided. In correction of ptosis, the levator aponeurosis, levator muscle, or levator/Muller’s complex is mobilised and the levator muscle resected as appropriate and as discussed for anterior approach ptosis surgery.

Three or four 5/0 polyglycolic acid sutures are placed through the levator aponeurosis. The sutures are then passed through the edge of the tarsal plate and through the skin at the position...
of the previously marked skin crease for ptosis correction. For the correction of lid retraction, the sutures are brought out through the upper border of the tarsal plate and through the skin at the same level. The conjunctival incision is closed with a running, 6/0 plain cat gut suture, taking care to bury the knots.

Alternatively, a running, 6/0 nylon pullout suture can be used and passed through the skin at each end of the incision where it is taped. A single throw then bow knot is tied with the 5/0 suture over a bolster.

Operative photographs illustrate anterior approach correction of lid retraction (Figs 3A–E) and ptosis (Figs 4A–D); and posterior approach correction of thyroid lid retraction (Figs 5A–I). Topical chloramphenicol antibiotic drops and ointment are routinely prescribed.

**POSTOPERATIVE MANAGEMENT**

Adjustment of the sutures is performed on the following day with topical anaesthetic and sterile instrumentation either in the ward or surgeon's office. In thyroid lid retraction and ptosis, adjustment is recommended within 24 hours. At this time the patient will be alert and cooperative. Adjustment after this would allow more lid oedema to settle and muscle function to recover, but the sutures may be more difficult to adjust since tissue repair is already occurring. Topical anaesthetic drops to the globe usually make the patient more comfortable and are essential if posterior approach surgery was performed. The patient is seated or prone, and the eyelid adjusted as required. The eyelid can be raised by simply tightening the sutures and lowered by loosening the sutures, holding the eyelashes and requesting the patient to look up. After each adjustment, the patient is seated and asked to look up and down so that the lid retractors reposition themselves. When the lid position is satisfactory, the sutures are securely tied. In lid retraction, a reverse Frost suture is recommended to hold the lid closed and is taped to the cheek so that the upper lid retractors are in the recessed position in the early postoperative period. If a running 6/0 nylon suture has been used to close the conjunctiva, it is removed after 5 days.

**Results**

Adjustable sutures have been used successfully for aponeurotic repair and levator resection in ptosis by both anterior and posterior approaches and for the correction of lid retraction, also by the anterior and posterior approaches in 15 patients. There were eight patients with ptosis. The causes were post-traumatic (three), post-
excessive upper lid retractor recession for thyroid associated lid retraction (three), and one case following two previous ptosis repairs. These were all operated via the anterior approach. One case of neurogenic ptosis in an adult was repaired via the posterior approach. There were seven patients with lid retraction. Five were lowered by the anterior approach; thyroid associated lid retraction (three), post-excessive ptosis correction (two), one of whom had an ocular myopathy. Two patients with thyroid-associated lid retraction had their eyelids lowered by the posterior approach. In eight of these 15 patients, no postoperative adjustment was required and the sutures were simply tied at 24 hours. In the other seven patients, one or more sutures were adjusted to raise or lower part or all of the eyelid. The only complication has been a mild inflammatory reaction in the skin around the sutures in two patients. If the knot is still present 4 weeks postoperatively, it is cut and removed with any attached suture. The longest follow up is only 8 months, but there has been no unexpected late change in eyelid contour or height in any of the 15 patients to date.

Discussion

In ptosis surgery under local anaesthetic, most surgeons will set the height of the lid by (a series of) intraoperative estimates and revisions. The patient may be asked to sit up and the height of one or both lids assessed. Care may be taken to minimise the amount of local anaesthetic injected in the region of the levator muscle and allowance is made for the temporary paralysis of the orbicularis. Preoperative measurements of levator function, amount of ptosis, and aetiology (myopathic or neuropathic) are taken into consideration with the intraoperative lid position. In spite of these proved, time honoured techniques there is still a significant rate of inaccuracy in ptosis surgery via the anterior\(^2\) and posterior\(^1\) approaches, and a means of postoperative adjustment is desirable. This applies in particular to neurogenic ptosis in which the results are more difficult to predict, and in patients with increased risk of corneal exposure – ocular myopathies, poor Bell’s phenomenon, and corneal hypoesthesia.

The unpredictability of thyroid lid surgery is well known among oculoplastic surgeons with under and overcorrections a recurring problem. The amount of lid lowering performed is determined by the preoperative assessment of lid retraction, and intraoperative lid height and assessment of residual lid restriction after retractor release. Release of the retractors without spacers has been shown to be as effective and with results as predictable as with the use of spacers; and insertion of foreign material including non-autogenous sclera is avoided.\(^4\) This non-requirement of spacers facilitates the use of adjustable sutures since the levator tarsus distance is no longer fixed by a spacer and can be altered postoperatively. The sutures receding the lid retractors are in fact ‘hang back’ sutures. Similar principles apply to correction of lid retraction from other causes – for example, facial nerve palsy.
Figure 5F  The conjunctival incision is closed with a running 5/0 gut suture.

Figure 5G  Three sutures in place at the skin crease, not yet tied.

Figure 5H  Three adjustable sutures in position. Note reverse Frost sutures which will be taped to the patient’s cheeks.

Hyklema and Koornneef described a technique of adjusting lid height intraoperatively with 5/0 catgut sutures passed through levator and upper border of tarsal plate where, after setting the lid height, they were tied, and therefore not able to be adjusted postoperatively.15 Berris described a procedure in which the levator aponeurosis is sutured to the tarsus and can be adjusted the following day. However, because the suture is tied at the tarsus, the skin crease is not simultaneously controlled. Adjustment is more difficult because the suture must be adjusted within the wound. Other techniques have been suggested for post-surgical lid correction of ptosis but involve opening the wound and resuturing the aponeurosis. Methods for postoperative adjustment of brow suspension surgery have been reported but true post-operative suture adjustment of lid height while controlling the position of the skin crease has not been performed previously to the authors’ knowledge in non-brow suspension correction of ptosis and lid retraction.

Adjustable sutures in strabismus surgery became popular after their development by Jampolsky.14 The principles are similar when applied to the eyelid. The muscle is able to be advanced to or recessed from the muscle insertion point with the sutures passing through the tarsal plate instead of a scleral tunnel. In eyelid retraction, the retractors are suspended from their insertion with a hang back suture. Following surgery, the retractors will then heal in their new position. Our choice of suture is a 5/0 coated absorbable polyglycolic acid Vicryl suture but nylon or Prolene can also be used. The suture can be knotted at the levator muscle to avoid the suture slipping when adjusting either end. This prevents suture removal, however, and requires an absorbable suture. Uncoated polyglycolic acid dixon has more tissue drag and is therefore slightly less easy to adjust compared with Vicryl. Vicryl is used since the knot holds well, has good tensile strength, and travels through tissue adequately when adjusting. Seesawing the suture through the tarsal plate to reduce friction within the suture tract was not found to be necessary nor was the injection of corticosteroid required to delay healing and facilitate adjustment. The bow knot has been used successfully in all procedures in preference to the sliding handle knot used in strabismus surgery.16 In adjustable strabismus surgery, Healon has been used to facilitate muscle adjustment, but this has not yet been necessary in eyelid surgery.17 A reasonable length of suture should be left at the end of surgery for adjustment. A traction suture through the upper lid margin for countertraction in adjustment was not found to be necessary in ptosis surgery.

The difficulty with the development of adjustable upper lid sutures in raising or lowering a lid has been twofold - the alteration of the position of the skin crease with suture adjustment, and the adjustability of the upper lid retractors postoperatively. The skin crease challenge is solved by placing the sutures through the anterior surface or edge of the tarsal plate in the anterior approach. In setting the height of the skin crease, the vertical height of the tarsal plate must be within 1-2 mm of the height of the skin crease. This may not be possible in patients with a high skin crease (or small tarsal plate). In setting the lid height, since the sutures pass through the tarsus within 1-2 mm of the desired skin crease, adjustment of the lid height will not alter the height of the skin crease. In the posterior approach, it is technically easiest to set the skin crease within 1-2 mm of the edge of the upper border of the tarsal plate.

In the correction of lid retraction, no tarsus is excised, since this would reduce the lid lowering effect of the procedure. The sutures are brought out through the skin, level with the upper border of the tarsal plate. Since the normal skin crease is usually 6-7 mm from the lid margin, the skin crease rises, but if the retractor recession is bilateral, symmetry is maintained and this does
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not matter. If the skin crease needs to be maintained, the anterior approach is used. In the correction of ptosis by the posterior approach, maintaining the skin crease is very important. The tarsus is therefore cut at the level of the intended skin crease and sacrificing a piece of tarsus also helps to correct the ptosis.

If the skin crease is very low, too much tarsus would have to be sacrificed, and the anterior approach is preferred. It is possible, in theory, to retain a reasonable amount of tarsus and to pass a half circle needle in and out of the anterior surface of the tarsus and through the skin at the height of the intended low skin crease, but this is technically not very easy to do and in these cases the anterior approach is preferred.

The second difficulty in development of adjustable lid sutures applies to the postoperative adjustment. Lowering the upper lid is simple: gentle lid traction will recess the lid retractors if the suture ends are left long enough. However, in raising the lid postoperatively (advancing the lid retractors), once the retractor complex is advanced to the point where the levator muscle or aponeurosis is apposed to the tarsus, the retractor muscles cannot be further advanced and postoperative lid elevation is limited. This is overcome by either having all sutures slightly 'hang back' or by taking a high suture bite of the lid retractor – that is 3–5 mm from the edge of the aponeurosis or resected levator muscle. Alternatively, if suture placement in the anterior tarsal plate is 2–3 mm from the superior border of the tarsus, then a 'hang back' suture will still enable the lid retractor to overlap the tarsus, where it will heal whether further advanced postoperatively or not. Since the latter is not always possible, due to the variable height of the tarsus, a high bite of the levator aponeurosis or muscle is usually required for the retractor to heal in the vicinity of the tarsal plate.

Postoperative swelling of the lid may make accurate lid height assessment and adjustment difficult and lid oedema will tend to plose the lid. Adjustment, however, was easily performed in all cases to date and with minimum or no patient discomfort. The lid height may be altered if the swollen lid alters the amount of retractor recession – that is, the levator tarsus part of the suture may be effectively shortened and the skin tarsus part lengthened with swelling in the anterior pretarsal part of the lid. This would reduce the amount of recession in lid retraction or amount of lid lift in ptosis. This has not been found to be a problem, probably because the pulling action of the levator complex keeps the suture in the desired position. Nevertheless, intraoperative haemostatis and firm postoperative padding are recommended to reduce postoperative lid swelling.

The anterior and posterior approaches used in ptosis and thyroid lid retraction both achieve satisfactory results. The anterior approach may be more desirable to many surgeons since it is the more common technique for ptosis surgery. It tends to form a harsher skin crease than the posterior approach. Posterior approach ptosis surgery may be faster for simple aponeurotic advancement when the desired skin crease is within 1 mm of the upper border of the tarsal plate. It has the advantage of being able to tie the sutures over a bolster rather than over the wound. It is not possible to perform, however, in extreme cases of thyroid lid retraction in which the tarsus cannot be everted.

Any case that can be adjusted postoperatively under local anaesthetic, may have adjustable sutures with slip knotting of the sutures performed. The sutures are easily permanently tied postoperatively without alteration of an already satisfactory lid position, since the suture is held where it passes through the tarsal plate. Since the technique of adjustable sutures offers postoperative adjustment, the results of ptosis and lid retraction surgery should be as good whether the surgery is performed under general or local anaesthesia.

A possible application of adjustable sutures is ptosis surgery performed under general anaesthesia in older children since ptosis surgery under general anaesthesia is less predictable. If revision is required, then the lid is easily and quickly adjusted without opening the wound. Even if a second general anaesthetic was required, subsequent adjustment is easier than complete revision of the surgery. In younger children, if adjustable sutures are used, they would need to be well tied since it is anticipated that adjustment is not required in most cases. The suture ends would also need to be left short so that the child's fingers can't pull on them but even then there is the significant risk of slippage or undoing of a knot that is bow knotted. The use of adjustable sutures in young children is therefore not recommended.

The value of being able to adjust the eyelid height and contour very simply postoperatively without affecting the skin crease level makes it worth presenting the techniques at this early stage. The method is a useful adjunct to ptosis and lid retraction surgery and is recommended for those cases in which it is notoriously difficult to predict the postoperative lid level, such as traumatic ptosis, thyroid associated lid retraction, and ptosis correction after excessive upper lid lowering, etc. The techniques are a simple
Modification of the standard procedures, and can be easily adopted by any surgeon capable of performing ptosis and lid retraction surgery. They do not replace the standard techniques, but are intended to give an added measure of postoperative control of the lid level which is valuable in specific circumstances, as discussed. The maximum follow up to date is only 8 months, and although the results so far are extremely satisfactory, it will be necessary to reassess the results in the future after a longer follow up period.

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