Long term follow up of premature infants: detection of strabismus, amblyopia, and refractive errors

Nicoline E Schalij-Delfos, Mieke E L de Graaf, Willem F Treffers, J Engel, Bernard P Cats

Abstract

Aim—To establish recommendations for long term ophthalmological follow up of prematurely born infants.

Methods—130 infants with a gestational age (GA) <37 weeks and born between 1 November 1989 and 31 October 1990 were enrolled in a prospective study about the development of strabismus, amblyopia, and refractive errors. Infants were subdivided in three groups according to GA: A <28 weeks (n=32), B ≥28–≤32 weeks (n=64), C >32–<37 weeks (n=34). Ophthalmological assessment took place at the postconceptional age of 32 weeks, at term and at 3, 6, 12, and 30 months post term. At the age of 5 years parents received a questionnaire and a majority of the children was examined again (n=99).

Results—At the age of 5 years 46 infants were known to have strabismus (n=29) and/or amblyopia (n=22) and/or refractive errors (n=22). Statistical analysis showed that gestational age, duration of supplementary oxygen, and duration of hospitalisation were important predictive variables for the development of strabismus, amblyopia, or refractive errors (SAR) at the age of 5 years (p<0.05). Infants with a GA ≤32 weeks had a significantly higher risk of developing SAR than infants with a GA >32 weeks, who developed an incidence comparable with the normal population. Strabismus developed mainly in the first year of life and at the age of 5 years. Most infants with amblyopia were detected at the age of 2–3 years. Refractive errors were found in the first year of life and at the age of 2.5 and 5 years.

Conclusion—Infants with a GA <32 weeks should be selected for long term ophthalmological follow up. These infants should be screened at the age of 1 year, in the third year of life (preferably at 30 months), and just before school age (including testing of visual acuity with optotypes).

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documented. Children were considered to have a significant refractive error in case of hypermetropia > 3 dioptres (D), myopia > 3D, astigmatism > 1D, and/or anisometropia > 1.5 D. 11

STATISTICAL ANALYSIS

General clinical data were evaluated by using the Student’s t or χ² test for discrete variables and a one way analysis of variance (ANOVA) for continuous variables. If the ANOVA test resulted into significance, a Tukey post hoc procedure was performed. Differences with a p value < 0.05 were considered significant. To assess the effect of different variables on the development of ocular sequelae at the age of 5 years, a logistic regression analysis (LRA) as well as a classification and regression trees (CART) technique was used. The predictive variables were selected for inclusion in the LRA model if they were significant at the 5% level when tested by t test.

Results

General characteristics of the population are given in Table 1. The three age groups A, B, and C showed significant differences for mean gestational age, mean birth weight (BW), number of days of artificial ventilation, persistent ductus arteriosus (PDA), and retinopathy of prematurity (ROP). With regard to the number of days with supplemental oxygen administration, mean maximum concentration of supplementary oxygen, mean duration of hospitalisation and bronchopulmonary dysplasia (BPD), group A and B were comparable but they were significantly different from group C. Retinopathy of prematurity (ROP) was found in 33 infants: group A: 21 (stage 1 in 12 eyes, stage 2 in 22 eyes, and stage 3 in four eyes), group B: 12 (stage 1 in eight eyes, stage 2 in 14 eyes, and stage 3 in 10 eyes), group C: 0. No ROP stage 4 or more was found. Two patients in group B underwent cryotherapy because they reached threshold disease as defined by the Cryotherapy for ROP Cooperative Group. 12

The questionnaire, sent at the age of 5 years, was returned by parents of 99 infants. All infants had an ophthalmological examination at the age of 5 years, either at the hospital by an ophthalmologist (n=61) and/or at the child health clinics by a general physician (n=38). During the study period two infants died, 11 infants moved without a forwarding address, and 18 questionnaires were not returned because parents were not motivated to do so as they or the health clinic physician observed no problems (verbal communication with the parents).

At the age of 5 years 46 infants were known to have strabismus (n=29) and/or amblyopia (n=22) and/or refractive errors (n=22) (SAR). Thirteen infants wore spectacles. A division according to age groups is given in Table 2. A t test analysis showed that infants in groups A and B had a significantly higher risk of developing SAR than infants in group C (t test AB: p=0.8; AC: p<0.00; BC: p<0.00). In an earlier study, data of the infants from groups A and B with and without ROP in the neonatal period were compared. 13 Infants with ROP were found to have more strabismus (p=0.002), amblyopia (p<0.001), myopia (p=0.003), and glasses (p=0.001) at the age of 5 years than infants without ROP.

In a logistic regression gestational age (p=0.005), duration of oxygen supplementation (p<0.000), and duration of hospitalisation (p=0.003) turned out to be important predictive variables for the development of strabismus, amblyopia, or refractive errors at the age of 5 years. Gestational age (p=0.003) and the number of days of artificial ventilation (p<0.000) were found to be predictive variables for the development of ROP. There is a strong relation between the development of ROP in the neonatal period and the development of ophthalmological sequelae at the age of 5 years if these are tested by LRA separately (p=0.009).

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In addition to the evaluation of factors influencing the development of strabismus, amblyopia, and refractive errors, attention was given to the mean age of detection and course in time. The mean age of detection was 2.1 years (SD 1.8, range 0.1–5.0) for strabismus and 2.3 years (1.2, range 0.5–5.0) for amblyopia. Spectacles were prescribed at a mean age of 3.0 years (0.9, range 1.5–5.0). Evaluating the data over time (Fig 1) strabismus developed mainly in the first year of life and around the age of 5 years. All cases of strabismus that developed before the age of 1 year presented with large angle esotropias or exotropias; most infants who developed strabismus around the age of 5 years had decompensating heterophorias. Infants who developed amblyopia in the first year of life all had strabismus; however, most cases of amblyopia were detected at the age of 2–3 years. Refractive errors were detected in about 18% in the first year of life, 35% in the second year, and 56% at 2 years.13 These findings were supported by a study of Robinson and O’Keefe who examined 131 infants who had been screened for ROP in the neonatal period. They found myopia in 20% and strabismus in 22%. Holström et al78 followed 248 infants for 3.5 years and found increased incidences of myopia, astigmatism, anisometropia, and strabismus compared with control groups of full term children. In an earlier study we also found a significantly higher risk of developing strabismus (20%) and myopia (22%) in 96 infants with a gestational age ≤32 weeks.13 All studies had in common that infants who developed any stage of ROP in the neonatal period were found to have a significantly higher risk of developing strabismus or myopia than infants without ROP. Pennefather et al8 studied 565 infants with gestational age <32 weeks at the age of 2–3 years retrospectively and found high incidences of abnormalities—strabismus in 12.5% and refractive errors in 12.7%. These studies all compare their outcomes of ocular morbidity with incidence figures of full term neonates and conclude that prematurity is associated with an increased risk to develop ophthalmological problems. However, prematurity is defined as being born with a gestational age <37 weeks. When searching for criteria for patient selection for ophthalmological screening one has to be sure that neonates with GA >32–<37 weeks have incidences comparable with the normal population. Therefore this study differs from previous reports in that premature infants >32–<37 weeks (group C) were included. Infants from group C indeed had a significantly lower risk of developing ocular problems than infants from groups A and B.

Statistical analysis of the data showed that gestational age, duration of supplementary oxygen, and duration of hospitalisation were important predictive variables for ocular morbidity in this cohort of premature infants. It is possible to create a predictive model to calculate the probability for ophthalmological sequelae at the age of 5 years for every prematurely born infant. As this predictive model is difficult to handle in a clinical setting, it is presented as a note at the end of the article. For practical purposes GA is the most useful factor to use in developing selection criteria for long term

**Figure 1** Age at onset of strabismus, amblyopia, and refractive errors of infants who had been classified as having ophthalmological abnormalities at the age of 5 years. The ages at which follow-up examinations were originally scheduled are underlined.

**Figure 2** Cumulative distribution of infants with ocular abnormalities (strabismus, amblyopia, and/or refractive errors) at the age of 5 years, according to gestational age at birth. If only infants with a gestational age ≤31 or ≤32 weeks had been screened, 96% of infants with ophthalmological abnormalities would have been detected.
follow up, as GA is reproducible by all parents for many years to come, whereas the duration of oxygen supplementation or hospitalisation are not. Figure 1 shows that when all infants with a GA ≤ 31 weeks would have been screened, 96% of infants with ocular abnormalities would have been detected in this study. Incidence figures for strabismus (5%), amblyopia (3%), and refractive errors (5%) of the normal population of preschool infants can be found in a report about supply and demand in the care of patients with eye disease in the Netherlands.7 This supports the idea that long term follow up of prematures with GA ≤31 or ≤32 weeks is sensible. To create a guideline that is easy to handle in practice, the advice for long term follow up could be combined with the advice for ROP screening in the neonatal period as most of these programmes, including the Dutch programme, screen infants of <32 weeks' gestation.

After the definition of a population for long term follow up, it is equally important to define advice for timing of screening. First of all, parents should be informed that their child is at risk of developing ophthalmological problems later in life. They should be encouraged to seek expert advice whenever they have doubts about the status of their infants' eyes. In the past many authors stressed the importance of long term follow up of prematurely born infants. However, few specify their advice. Pott et al indicated that the optimal age for the detection of strabismus in at-risk infants is at 9 months corrected age. Although they explained that children should be re-examined later on, they did not give further recommendations. Holmström et al6 recommended that if only one examination could be done this should take place around the age of 1 year. If a second examination was planned this should be performed at the age of 24–30 months. A third examination should take place at 42 months of age (including testing of visual acuity with optotypes). Recommendations from the current study, for the time of follow up screening can be deduced from Figure 2. Strabismus and amblyopia developed in the first year of life, around the age of 2.5 and 5 years. Refractive errors were detected at the age of 6 months, 2.5, 3, and 5 years.

Combining the information from these three studies we suggest the following screening strategy: first examination at the corrected age of 1 year, a second examination in the third year of life (preferably at 30 months), and a third examination just before school age (in the Netherlands just before the age of 4) as visual acuity can be reliably measured at this age. Holmström states that when only one examination is feasible, infants should be screened at the age of 1. However, this study shows that ophthalmological abnormalities are detected in about equal amounts every time infants are screened. This means that premature infants who are at risk of developing strabismus, amblyopia, and/or refractive errors should be screened more than once in their younger years.

The increased survival of prematurely born infants poses a long term problem in terms of increased incidence of ophthalmological problems such as strabismus, amblyopia, and refractive errors. Patient selection and timing of follow up examinations should be formulated. The conclusion of this study is that infants with a gestational age <32 weeks should be selected for long term ophthalmological follow up. One has to keep in mind that infants with prolonged oxygen supplementation or hospitalisation as well as infants who developed ROP in the neonatal period or infants with neurological complications are at the highest risk. Parents should be made aware of the various problems their infants can encounter. Infants should be screened at the age of 1 year, in the third year of life (preferably at 30 months), and just before school age (including testing visual acuity with optotypes) (Table 3).

Note: Predictive model to calculate the probability for ophthalmological sequelae at the age of 5 years (p) with standard errors in parentheses: \[
\log \left( \frac{p}{1-p} \right) = 9.43 \ (3.56) - 0.31 \ (0.11) \ G A + 0.04 \ (0.01) \ O_2 \ \text{adm} - 0.03 \ (0.01) \ \text{hosp}
\]

GA = gestational age; O2 adm = duration of oxygen administration; hosp = duration of hospitalisation.

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<th>Table 3</th>
<th>Long term follow up of prematurely born infants for the detection of strabismus, amblyopia, and refractive errors</th>
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<td>Who?</td>
<td>Infants with a gestational age &lt;32 weeks</td>
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<td>When?</td>
<td>At the age of 1, 2.5, and just before school age</td>
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References:


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Br J Ophthalmol 2000 84: 963-967
doi: 10.1136/bjo.84.9.963

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