Ophthalmological long term follow up of preterm infants: a population based, prospective study of the refraction and its development

G Holmström, M el Azazi, U Kugelberg

Abstract

Background—Numerous studies have reported an increased risk of refractive errors in prematurely born infants, but only few have been long term and strictly population based.

Methods—A 3.5 year ophthalmological long term follow up of 248 preterm infants was performed. The infants had been included in a previous epidemiological study of retinopathy of prematurity (ROP) (birth weight ≤ 1500 grams). The incidence of refractive errors and development of refraction were studied, based on retinoscopies at 6 and 30 months of corrected age.

Results—The overall incidence of myopia was 8% at 6 months, of which 35% was transient, and 10% at 30 months. Of the cryotreated infants, 30–40% were myopic at both retinoscopies. The incidence of astigmatism was 52% at 6 months and 26% at 30 months. Astigmatism was associated with ROP, but not with cryotreated ROP itself. Astigmatism “against the rule” was commoner than astigmatism “with the rule”. Anisometropia occurred in 6.5% of the infants at 6 months and in 8.4% at 30 months. The incidence of anisometropia was higher in eyes with ROP, particularly in cryotreated eyes, which tended to have high and persistent anisometropia.

Conclusion—The risk of refractive errors is higher in preterm infants than in infants born at term, and also prematurely born infants without ROP do run an increased risk of having myopia and anisometropia. We recommend follow up examinations with retinoscopy for all infants included in screening programmes for ROP.

Advances in neonatology and increasing survival rates have been associated with an increasing number of prematurely born infants with a history of retinopathy of prematurity (ROP). Apart from the immediate devastating complications of ROP, it is likely that preterm birth with or without ROP, may also lead to abnormal development of the eyes with impaired visual acuity, refractive errors, and strabismus. Numerous studies on refraction in preterm infants have shown a general predisposition to childhood myopia and an association of ROP with astigmatism and high myopia. However, few long term and strictly population based studies of ophthalmological follow up of preterm children have been reported.

A population based study on the epidemiology of ROP was previously performed in a well defined geographic area of Sweden. The aim of the present study was to investigate prospectively the same population with respect to development of refraction and the relation with previous ROP.

Material and methods

A population based study on the epidemiology of ROP was performed in the Stockholm area of Sweden from September 1988 to October 1990. It included 260 infants with a birth weight of 1500 grams or less who were screened for ROP in the neonatal period. Cryotherapy was performed in ROP stage 3 with confluent fibrovascular proliferations and “plus disease”, but also in the absence of “plus disease”, provided the confluent proliferations involved at least four clock hours in zone II.

In the present study retinoscopies were performed by experienced paediatric ophthalmologists at 6 and 30 months of corrected age. Cycloplegia was induced with eye drops of cyclopentolate hydrochloride (0.5%) and phenylephrine hydrochloride (0.5%) in infants less than 12 months (twice, at 45 and 30 minutes before retinoscopy), and more than that age with a higher doses (0.85% and 1.5%, respectively) (45 minutes before retinoscopy). Hypermetropia of > +3 D (dioptres) was regarded as significant.

Myopia was defined as <0 D and was further subdivided into “high” myopia (<−3 D) and “slight” myopia (≥−3 D and <0 D).

Astigmatism was recorded as negative cylinder values. Astigmatism of ≥1 D and of ≥2 D were regarded as “significant” and “high”, respectively. The axes of astigmatism (≥1 D) were divided into three classes—“with the rule” (−cyl at 0–15°, 165–180°), “against the rule” (−cyl at 75–105°), and oblique (−cyl at 16–74°, 106–164°).

Anisometropia was defined as the difference in the spherical equivalent between the eyes of at least 1 dioptre. Anisometropia was divided into two groups—“moderate” (≥1 D and <2 D) and “high” (≥2 D).

In the present study, major neurological sequelae were grossly defined as “obvious mental retardation, cerebral palsy, and/or epilepsy”.

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### Table 1 Relation between refraction (spherical equivalent) and stage of ROP

<table>
<thead>
<tr>
<th></th>
<th>Right eye</th>
<th></th>
<th>Left eye</th>
<th></th>
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</thead>
<tbody>
<tr>
<td></td>
<td>&lt;−3</td>
<td>≥−3 and &lt;0</td>
<td>≥0 and ≤+3</td>
<td>&gt;+3</td>
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<tr>
<td>None</td>
<td>0 (0%)</td>
<td>4 (2.6%)</td>
<td>131 (85.1%)</td>
<td>19 (12.3%)</td>
</tr>
<tr>
<td>Mild</td>
<td>1 (2%)</td>
<td>5 (10%)</td>
<td>36 (72%)</td>
<td>8 (16%)</td>
</tr>
<tr>
<td>Severe without cryo</td>
<td>0 (0%)</td>
<td>1 (5.6%)</td>
<td>15 (83.3%)</td>
<td>2 (11.1%)</td>
</tr>
<tr>
<td>Severe with cryo</td>
<td>3 (12%)</td>
<td>6 (24%)</td>
<td>12 (48%)</td>
<td>4 (16%)</td>
</tr>
<tr>
<td>Total</td>
<td>4 (1.6%)</td>
<td>16 (6.5%)</td>
<td>194 (78.5%)</td>
<td>33 (13.4%)</td>
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</tbody>
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### STATISTICAL METHODS

In a comparison between the ROP groups, an unpaired t test and one way analysis of variance (ANOVA) were used for normally distributed variables. When the overall F ratio in the ANOVA was significant, pairwise comparisons among the means were made by Duncan’s range test. If the variables showed a skewed distribution, the Kruskal–Wallis test was used. Correlations were calculated with Pearson’s product moment correlation coefficient.

Relations between various factors and the degree of ROP (no ROP, mild ROP, severe ROP without cryotreatment, severe ROP with cryotreatment), gestational age at birth (24–26, 27–29, 30–32, 33–35 weeks) and birth weight (≤750, 751–1000, >1000 grams) were evaluated with frequency tables.

Two way ANOVA with repeated measurements of one factor was used to compare the ROP groups with respect to changes in spherical equivalents between the first and the second retinoscopies. Stepwise multiple regression analyses were performed to determine how much of the variation in spherical equivalents could be explained by the different risk factors. Stepwise logistic multiple regression analyses were performed to determine the main predicting factors for astigmatism.

### Results

Of the original population of 260 children who had participated in our earlier prospective epidemiological ROP study,21 seven children had died before first follow up, thus reducing the population to 253 children. A further 22 children dropped out during the present study, resulting in a dropout frequency of 8.7% (22/253). One of these children moved before the 6 month visit, 19 shortly thereafter, and two just before the 42 month visit. Four children were excluded from the study because of diseases unrelated to prematurity affecting their vision. One pair of twins had bilateral optic atrophy due to an undefined metabolic disease, and one child had ichthyosis with severe corneal opacities. The fourth child was lensectomied bilaterally for hereditary congenital cataracts in the neonatal period. At the time of surgery, an anticipated ROP stage 2 was prophylactically treated with cryotherapy.

The total study population included 248 children (that is, the original 260 children minus the seven who had died, the four who had been excluded, and one who moved abroad before the first follow up). There were 127 (51.2%) girls and 121 (48.8%) boys. Fifty two infants (21%) were products of multiple births and 196 (79%) of single births. The mean gestational age at birth was 29 weeks (range 24–35 (SD 2.3) weeks) and the mean birth weight was 1161 grams (range 675–1500 (222) g). ROP was diagnosed in 98 (39.5%) infants, mild ROP—that is, stages 1 and 2, severe ROP—that is, the original 260 children—of whom 196 (79%) of single births. The mean gestational age at birth was 29 weeks (range 24–35 (SD 2.3) weeks) and the mean birth weight was 1161 grams (range 675–1500 (222) g). ROP was diagnosed in 98 (39.5%) infants, mild ROP—that is, stages 1 and 2, severe ROP—that is, stages 3, 4, and 5 in 20 (20.2%). Cryotherapy had been necessary in 26 (10.5%) of the infants.22

The first retinoscopy was performed in 247 infants at a mean age of 6.1 months (range 2–14 (1.8) months). The second retinoscopy was performed in 228 infants at a mean age of 31 months (range 21–48 (4.2) months). In one infant with complete retinal detachments, no retinoscopies could be performed.

### SPHERICAL EQUIVALENT (TABLE 1)

The mean values of the spherical equivalents at each retinoscopy in eyes treated with cryo were significantly lower than in untreated ROP eyes, and also compared with the total population of untreated eyes (p<0.01). There was a significant reduction of the spherical equivalent between the first and the second retinoscopies. (two way ANOVA). The spherical equivalent increased slightly with increasing gestational age at birth (r=0.15–0.19, p<0.01) at both retinoscopies and also with increasing birth weight (r=0.12–0.19, p<0.05). Stepwise multiple regression analysis, however, identified cryotreated ROP as the only factor significantly associated with a reduction in the spherical equivalent in each.
eye on both retinoscopies. Gestational age at birth, birth weight, presence of intraventricular hemorrhage, neurological sequelae, and stage of ROP showed no correlation with the spherical equivalent.

HYPERMETROPIA (Table 1)

Hypermetropia (> + 3 D) was seen in 13.4% (33/247) of the right eyes and in 12.1% (30/247) of the left eyes at the first retinoscopy and in 4.4% (10/228) and 4.0% (9/227) in the right and left eyes, respectively. No association was found between hypermetropia and the stage of ROP, gestational age at birth or birth weight.

MYOPIA (Table 1)

Myopia (< 0 D) was present in 8.1% (20/247) of right eyes and in 7.7% (19/247) of left eyes at the first retinoscopy and in 10.1% (23/228) versus 9.7% (22/227) in the right and left eyes at the second retinoscopy. The incidence of myopia (< 0 D) was higher in eyes with ROP than in eyes without ROP at both retinoscopies (p < 0.05). In cryotreated eyes, the frequency of myopia was higher than in untreated eyes (with or without ROP) (p < 0.01).

Myopia (< 0 D) was commonest in infants with the lowest gestational ages (24–26 weeks), and was not found at all in those with the highest gestational ages at birth (33–35 weeks).

High myopia (< −3 D) was seen only in eyes of infants born before 30 weeks gestation.

Myopia (< 0 D) was commoner in infants with the lowest birth weights (< 750 g) compared with infants with higher birth weights. The frequency of high myopia (< −3 D) was also highest in the group of very low birthweight children (< 750 g).

Individual development of myopia

High myopia (< −3 D) (Fig 1)—Eleven infants (16 eyes) had "high myopia" at either retinoscopy. Six of them (nine eyes) had required cryotherapy. On the first retinoscopy, eight eyes (five cryotreated) were highly myopic. In two of these eyes (one cryotreated), the myopia progressed further, while in both eyes of another infant (not cryotreated), the myopia regressed and changed to a slight hypermetropia.

On the second retinoscopy, eight eyes were found to have developed high myopia. Five of these eyes (four cryotreated) were slightly myopic (> −3 D and < 0 D) at the first retinoscopy.

Slight myopia (> −3 D and < 0 D) (Figs 2 and 3)—"Slight myopia" was present in 26 infants (47 eyes) at either retinoscopy. Nine of them (15 eyes) had been cryotreated. In 12 of these eyes (seven cryotreated) the myopia increased (seven increased slightly and five (four cryotreated) became highly myopic). The myopia decreased slightly in six eyes (two cryotreated) and reverted to emmetropia or hypermetropia in 11 eyes (three cryotreated) (Fig 2).

An additional 18 eyes (three cryotreated) were found to be emmetropic or hypermetropic at the first retinoscopy, but had become slightly myopic at the second retinoscopy (Fig 3).

Thus, a higher frequency of cryotreated (82%, 14/17) than untreated eyes (36%, 10/28) seemed to have developed myopia (< 0 D) at an early age—that is, before 6 months of corrected age. In three eyes (not cryotreated) with "high" myopia and 18 eyes (three cryotreated) with "slight myopia", the myopia had developed between the two retinoscopies (Fig 3).
ASTIGMATISM (TABLE 2)
Significant astigmatism (≥1 D) was present in 51.8% (128/247) of both eyes on the first retinoscopy and was reduced to 28.1% (64/228) and 24.7% (56/227) in the right and left eyes on the second retinoscopy. High astigmatism (≥2 D) was present in 17.4% (43/247) and 17.8% (44/247) at the first retinoscopy, and at the second retinoscopy in 4.8% (11/228) and 5.3% (12/227) of the right and left eyes, respectively. The reduction in the amount of astigmatism between the two retinoscopies in the total study population was significant, but there were no significant differences between the subgroups of ROP.

Astigmatism (≥1 D) was more frequently found in eyes with ROP than in eyes without ROP at the first retinoscopy (p<0.05). At the second retinoscopy, this association was found only in the right eyes (p<0.05). No significant relation was detected between astigmatism and cryotreated ROP.

There were no obvious differences between the frequencies of astigmatism (≥1 D) in the different gestational age groups at the first retinoscopy. At the second retinoscopy however, astigmatism (≥1 D) was less common in eyes of infants born after 32 weeks of gestation (33–35 w) compared with before that age.

A general increase in the incidence of astigmatism with decreasing birth weight was observed, being most pronounced regarding astigmatism of ≥2 D at the second retinoscopy.

In a stepwise, logistic multiple regression analysis of astigmatism (≥1 D) at both retinoscopies, birth weight, gestational age at birth and stage of ROP as well as cryotherapy were included as independent variables. Birth weight was clearly associated with the occurrence of astigmatism. The degree of ROP, as well as cryotreated ROP, was associated with astigmatism only in left eyes at the first retinoscopy.

Axis of astigmatism
For analysis of the axis of astigmatism (≥1 D), three classes were compared—"with the rule" (−cyl at 0–15° and at 165–180°), "against the rule" (−cyl at 75–105°), and oblique astigmatism (−cyl at 16–74° and 106–164°). In all subgroups of ROP, except in cryotreated eyes at both retinoscopies, astigmatism "against the rule" (in around 50% in both eyes) was observed more often than any other axis of astigmatism. Astigmatism "against the rule" was also the most frequently observed type of astigmatism in all classes of gestational age and all classes of birth weight, apart from the lowest weight class (≤750 g), where oblique astigmatism was most frequent.

Thus, overall, astigmatism "against the rule" was more frequent than astigmatism "with the rule". In infants with a very low birth weight (≤750 g), as well as in infants with cryotreated eyes, oblique astigmatism was the commonest finding.

Individual development of axis of astigmatism
Among the eyes without astigmatism at the first retinoscopy, 16% of the right eyes (17/104) and 13% (13/103) of the left eyes had developed astigmatism at the second retinoscopy. Most (69% right, 88% left eyes) of the astigmatism "with the rule" at the first retinoscopy disappeared at the second retinoscopy. Of the eyes with astigmatism "against the rule", about 40% (24/64 right and 23/60 left eyes) remained astigmatic and, of these, 83% (20/24 right and 19/23 left) showed no change in axis. Among the eyes with oblique astigmatism, 40% (18/44 right and 18/47 left eyes) remained astigmatic. In 67% (12/18) of the right eyes and in 56% (10/18) of the left eyes, the oblique axis persisted while, in the eyes which had changed axis, astigmatism "against the rule" was frequent.

Thus, astigmatism "with the rule" tended to disappear more often than did astigmatism "against the rule" or oblique astigmatism. Most eyes which remained astigmatic did not change axis. No apparent differences in change of axis were found between cryotreated and untreated eyes. However, among the eyes without astigmatism at the first retinoscopy, a higher proportion of cryotreated eyes (63% (5/8) right and 60% (3/5) left) had developed astigmatism at the second retinoscopy compared to the untreated ones (12% (12/96) right and 10% (10/98) left).

ANISOMETROPIA (TABLE 3)
Anisometropia (≥1 D difference in spherical equivalent between the eyes) was seen in 6.5% (16/247) of the infants at the first retinoscopy and in 8.4% (19/227) at the second retinoscopy. Anisometropia (≥1 D) was commoner in
infants with ROP (12.4% (12/97) at the first and 13.8% (13/94) at the second retinoscopy) than in those without ROP (2.7% (4/150) and 4.5% (6/133) respectively) (p<0.05). High anisometropia (≥2 D) was commoner at both retinoscopies in infants with cryotreated eyes compared with in those with untreated eyes (p<0.001).

No relation between birth weight and anisometropia was found at either retinoscopy, while high anisometropia (≥2 D) was commoner in the lowest class of gestational age (24–26 weeks) compared with infants with higher gestational ages at birth.

**Individual development of anisometropia**

No difference occurred, with respect to the degree of ROP, in the amount of change in anisometropia from the first to the second retinoscopy. Of the infants without anisometropia at the first retinoscopy, 95% (200/211) remained isometropic. In most infants with moderate anisometropia at the first retinoscopy (78%, 7/9) the anisometropia disappeared, whereas most of the infants with high anisometropia (86%, 6/7) remained anisometropic. Five of them had been cryotreated.

Thus, there was an association between cryotreated ROP and persistent high anisometropia.

**SUMMARY OF RESULTS**

Regardless of ROP stage, cryotherapy, gestational age or birth weight, a reduction in the mean values of the spherical equivalents occurred between the first (around 6 months) and the second retinoscopy (around 30 months of corrected age). Cryotherapy seemed to be the principal risk factor affecting the spherical equivalent, according to multiple regression analysis.

Myopia was commoner in eyes with ROP, especially in cryotreated eyes, which were found to be myopic in 30–40% at both retinoscopies. This finding confirms previous reports of an association of myopia and cryotreated ROP. Whether such an association is explained by the cryotreatment or by the advanced stage of ROP itself remains an unanswered question, but perhaps a randomised prospective study for comparison of cryotreatment in one eye and the recently introduced laser treatment in the other eye of the same infant may further elucidate this.

In the present study, the course of myopia at 6 months had usually followed one of three patterns.

**One pattern** (27%, 10/37 eyes) was characterised by persistence of myopia, although a slight reduction could have taken place.

**A second pattern** (35%, 13/37 eyes) revealed complete regression of the myopia. These infants had all been slightly myopic and only three had been cryotreated. It seems likely that this emmetropisation corresponds to transient
and physiological myopia, which usually takes place before 6 months of age but may be delayed until the age of 2 years.\textsuperscript{20} A third pattern (38%, 14/37 eyes) consisted of progression of the myopia. Eight of the eyes had been treated with cryosurgery. It seems important to ascertain whether this progression can be ascribed to the cryosurgery itself or to the stage of ROP or a combination of both.

At 30 months of age, we found a further 21 eyes (three cryotreated) with myopia. Hence, at this age altogether 45 eyes (17 cryotreated) were myopic. In 18\% (3/17 eyes) of the treated eyes compared to 64\% (18/28 eyes) of the untreated ones, myopia developed after 6 months of age. This later development of myopia, also reported by Page et al,\textsuperscript{17} indicates a need of cycloplegic refraction at 2–2½ of age in preterms.

In accordance with other studies, hypermetropia (>+3 D) showed no relation to ROP or cryotherapy.\textsuperscript{1,21–25} The hypermetropia found at the 6 month examination was usually less marked at 30 months of age. The incidence of hypermetropia at 30 months of age (4.0–4.4\%) was similar to that in a normal population of Swedish 4 year old children (4.3\%, \(\geq +2.5\) D).\textsuperscript{25} The incidence of astigmatism in the present study population decreased significantly from 6 to 30 months of corrected age, which is in agreement with other studies.\textsuperscript{15,26–31} Further, the incidence of astigmatism (around 5\% of astigmatism \(\geq 2\) D at 30 months) exceeded that of a full term population (0.7\% of astigmatism \(\geq 2.5\) D at 4 years),\textsuperscript{22} probably because of an association between astigmatism and ROP. However, the incidence of astigmatism in the present study also seemed to exceed that in some other studies of prematurely born children.\textsuperscript{4,17}

Regarding the degree of astigmatism, Laws et al\textsuperscript{14} observed an association of high astigmatism with advanced stages of ROP. We confirmed a relation between astigmatism and previous ROP, but not Laws and others’ observation as such, since we detected no significant correlation between astigmatism and our severest ROP stages—that is, cryotreated ROP. Only birth weight was significantly associated with astigmatism in the logistic multiple regression analysis.

Astigmatism “against the rule” was far commoner than astigmatism “with the rule” at 6 and 30 months of age, regardless of the presence or stage of ROP, in our population of preterms. This agrees with reports on normal populations by Dobson et al,\textsuperscript{26} Gwiazda et al,\textsuperscript{30} and Abrahamsson et al,\textsuperscript{11} but contrasts with Laws et al\textsuperscript{14} who reported a predominance of astigmatism “with the rule” in 6 month old preterm infants without ROP and a significant shift towards an “against the rule” axis with increasing stages of ROP.

The incidence of anisometropia, even in those without ROP, was found to exceed that of full term infants born in the same area.\textsuperscript{7} As in other studies, we also found great individual variations in the anisometropia.

The conclusion of our prospective, population-based study of prematurely born infants is that preterm infants with a birth weight of 1500 grams or less have an increased risk of developing myopia or anisometropia.\textsuperscript{150} When designing ophthalmological follow up programmes of prematurely born infants, one must take into account that infants without ROP may also run an increased risk of developing myopia and anisometropia. We therefore suggest appointments for follow ups, including refractions, of all preterms in every neonatal screening programme for ROP.

The overall incidence of anisometropia in our preterm infants (anisometropia \(\geq 1\) D of 8.4\% at 30 months) exceeded that of full term populations (1.5\% at 92 months,\textsuperscript{17} 1.4\% at 4 years).\textsuperscript{25} Further, our incidence (6.5\% at 6 months, 8.4\% at 30 months) was similar to studies of preterms by Snir et al\textsuperscript{11} and Laws et al,\textsuperscript{14} but lower than in studies of Page et al\textsuperscript{17} and Tuppurainen et al,\textsuperscript{44} which probably reflects disparities in criteria between the different studies.

**CONCLUSIONS**

The overall frequency of myopia was higher in our population of premature infants than in normal full term populations\textsuperscript{7} and particularly in infants who had received cryotherapy. The frequency of myopia was also higher among infants without ROP than in normal populations. We should point out that the myopia may be transient in every third preterm child, which indicates that a first refraction would be of no great value if performed at too early an age.

The incidence of hypermetropia in our study population was similar to that in other studies, including normal populations.

Significant astigmatism (\(\geq 1\) D) was commoner than in comparable control groups of full term infants. However, the incidence of astigmatism in infants without ROP did not exceed that of infants born at term.

We confirmed a relation between astigmatism and ROP, but not with the stage of ROP or with cryotreated ROP itself. As in other studies, we found great variations in the course of astigmatism and in the change of axis, however, without any systematic pattern in the change of axis related to ROP, gestational age at birth or birth weight.

The incidence of anisometropia, even in those without ROP, was found to exceed that of full term infants born in the same area. As in other studies, we also found great individual variations in the anisometropia.

The conclusion of our prospective, population-based study of prematurely born infants is that preterm infants with a birth weight of 1500 grams or less have an increased risk of developing myopia and anisometropia. We therefore suggest appointments for follow ups, including refractions, of all preterms in every neonatal screening programme for ROP. If the number of examinations depends on financial resources, we recommend that at least one examination be performed of all infants around 12 months of corrected age. If resources are available, a second examination is recommended at 24–30 months of age. Finally, we suggest that the first refraction should not be performed before 6 months of corrected age.
age, in order to exclude most cases of physiological, transient myopia. A second retinoscopy should not be done before the age of 2 years, when the risk of including physiological myopia should no longer exist and most of the developmental changes in astigmatism have stabilised.

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