Efficacy of occlusion for strabismic amblyopia: can an optimal duration be identified?

Marie Cleary

Abstract

Background/aims—The study of occlusion efficacy in amblyopia has been hampered by the use of non-logMAR acuity tests and a failure to assess threshold acuity for both eyes. These issues are addressed in the current study which compares the effect of spectacles alone and spectacles in combination with occlusion, with the use of the logMAR crowded test.

Methods—Changes in unocular and interocular acuity differences were compared for two age matched groups of previously untreated children with strabismic amblyopia: one compliant with spectacles only (n = 17, mean 6.2 (SD 2.5) years) and the other with spectacles and occlusion (n = 69, mean 5.1 (1) years) over a 1 year period. Changes in logMAR acuity were also analysed for a larger occluded group (n = 119) in response to successive 200 hour blocks of occlusion up to \( \geq 1000 \) hours, in an attempt to isolate an optimal occlusion regime.

Results—Visual acuity improved for more of the amblyopic eyes of the occluded (74%) than the spectacles only group (59%), and only one child from the latter group deteriorated. Mean visual acuity improved for both eyes of both treatment groups, but the change was significantly larger for the strabismic eyes of the occluded group overall and within the first 6 month period (p <0.05). Occlusion was only effective for the first 400 hours worn. Subsequent visual improvement was bilateral and symmetrical.

Conclusion—Occlusion is more effective in the treatment of strabismic amblyopia than spectacles alone, and the effect is optimal within the first 6 months of wear. In terms of occlusion duration, maximal improvement occurs in response to 400 hours of occlusion wear or less, and to full time occlusion. Visual maturation continues, but is retarded for amblyopic eyes.

Amblyopia affects 2–3% of the population, and strabismus, often associated with anisometropia, is a major cause. Diagnosis is based on reduced visual acuity, but other anomalies such as reduced contrast sensitivity and anomalous spatial sense frequently co-exist. Eccentric fixation is manifest in up to 80% of amblyopes and this, along with other fixation anomalies, contributes to the visual acuity reduction. Occlusion of the non-amblyopic eye remains the mainstay of treatment, but the efficacy of occlusion treatment is poorly quantified, and the validity of this form of treatment has recently been questioned because of a lack of randomised controlled trials. Inconsistencies in the literature further cloud the issue, with a successful visual outcome being variously defined as 6/9, 6/12, and 6/18, and the mode of visual assessment varying throughout follow up.

Accurate monitoring of the occlusion compliance is a prerequisite for the assessment of treatment efficacy. A skin sensitive “intelligent” patch facilitates such monitoring, but this is currently not financially viable, and a parental diary system has compared favourably with this apparatus. Standardisation of paediatric visual acuity testing is fundamental to the determination of occlusion efficacy, with an optimal test combining an equivalent degree of crowding for each line, equal step sizes, and the ability to assess threshold in all cases (logMAR crowded test) (Fig 1). Consideration should also be given to the normal maturation of visual acuity.

The visual improvement manifested by many occluded cases has hitherto, presented an ethical dilemma, precluding randomised controlled clinical trials of occlusion efficacy. A poorer outcome in untreated cases in a cross sectional study, and a lack of improvement or visual deterioration in non-compliant children followed longitudinally add to this dilemma.

While the optimum daily and total “dose” of occlusion have not been defined, maximum visual recovery in the amblyopic eye probably...
occurs within the first 3 months of occlusion.\textsuperscript{27 28}

The primary aim of the present longitudinal study was to compare prospectively the influence of occlusion and spectacles on logMAR visual acuity in children newly diagnosed as strabismic and mixed amblyopes (strabismic and anisometropia of \(\geq 1\) dioptre spherical equivalent refraction). The secondary aim was to determine an optimal occlusion regime, and to consider the factors which influence response to occlusion.

Methods

A cohort of 136 children with either strabismic (n = 77) or mixed amblyopia (n = 59) were identified from 3847 new referrals presenting to our department over a 3.5 year period between June 1993 to December 1996. Patients with purely refractive amblyopia, a history of previous treatment, lack of compliance with spectacle wear (less than 75% wear), non-attendance, or organic amblyopia were not included.

Of the 136 children prescribed occlusion and followed longitudinally, 119 complied with occlusion (group A) and the other 17 failed completely to comply with occlusion (0 hours), (group B). In comparing the influence of spectacles alone versus occlusion and spectacles, only those followed for at least 1 year were included. This encompassed all of group B (n = 17) and 69 children from group A (referred to as group A1). While the study was not randomised and controlled, the groups were comparable on the basis of all of the variables generally considered as potentially influencing response to treatment (see Table 1), thus reducing the number of potential variables. Visual acuity was assessed with the logMAR crowded test,\textsuperscript{29} previously known as the Glasgow acuity cards (GAC), a paediatric test which permits the assessment of crowded threshold acuity. The score is represented by 1 minus the log of the minimum angle of resolution (MAR), so a higher score denotes a better acuity level. Amblyopia is defined for the purpose of this study as a uniocular GAC score of \(\leq 0.725\) log units or an interocular difference of \(\geq 0.1\) log unit with GAC.\textsuperscript{30} Amblyopia was diagnosed only after 6–10 weeks of full time spectacle wear. A significant change was considered to be \(\geq 0.125\) log units—that is, one line plus one letter or more (four letters per line, each with a value of 0.025 log units).

A full spectacle correction was prescribed in all cases on the basis of a cycloplegic refraction (cyclopentolate hydrochloride 1% for 20–40 minutes).

A comprehensive orthoptic assessment at each visit included detailed fixation assessment by visuscopy,\textsuperscript{32} and assessment of suppression density in children of 6 and over to monitor the risk of insuperable diplopia.

Following the initial period with spectacles, changes in visual acuity (strabismic and dominant eyes and interocular acuity differences) were compared at 3 monthly intervals up to 12 months for groups A1 and B, by means of an unpaired two tailed Student's t test. Only those children from group A1 who were undergoing occlusion at each time interval were included.

In looking for an optimal occlusion regime changes in visual acuity for each eye were compared for the whole occluded group (A, n = 119) in response to consecutive 200 hour periods of occlusion worn up to a total of \(\geq 1000\) hours. The influence of full time (\(\geq 8\) hours daily: 8–12 hours prescribed) and part time (\(\geq 8\) hours: 2–6 hours prescribed) were also compared, and the effect of other potential variables considered.

Part time occlusion was the sole treatment worn by most of group A (n = 73, 61%), with the other 46 children (39%) wearing a period of full time occlusion. For the purpose of analysis, Blenderm (3M) (n = 22) and atropine (n = 3) were grouped as full time occlusion. Occlusion was worn for an average of 8 (SD 6) months, and for a mean of 778 (711) hours (mean daily dosage 3.7 (3.3) hours).
The mean occlusion duration and daily dosage for group A1 was similar to that for group A (Fig 2). The occlusion regime was primarily based on the degree of amblyopia, although individual flexibility was applied in order to promote compliance, and individual orthoptist preference played a role. Full time occlusion was avoided in school age children with dense amblyopia (<0.3 log units in the strabismic eye) to avoid disruption of school work, and in cases of a low density of suppression or reduced fusional reserves, to avoid the risk of insuperable diplopia. Close work was encouraged during patching, particularly at school or nursery. Occlusion dosage was either reduced when visual acuity plateaued over 2–3 months despite reported good compliance, or increased to full time total or Blenderm in an attempt to improve outcome. Initial follow up was at 2–6 weeks, and at 2–10 weeks intervals thereafter, with full time wearers reviewed at shorter intervals, to monitor the risk of occlusion amblyopia and insuperable diplopia.

All children in group A were followed for 6 months or more, and 69 (58%) for a year or more. Parents were asked to judge compliance with spectacle wear as falling into one of four categories: full time (100%); most of time (>75%); half of time (50%); poor (<50%). Parents were also asked to monitor the number of hours occlusion worn per day, allowing a mean daily dosage to be calculated. The total was calculated as a percentage of that prescribed. Mean compliance for group A was 74% (28%), with poor compliance (<50%) in only 20% of cases. Follow up after cessation of occlusion was for a mean of 10 (SD 9) months.

Results

COMPARISON OF VA OUTCOMES FOR OCCLUDED (A1, N = 69) AND NON-OCCLUDED GROUPS (B, N = 17)

Achievement of normal acuity (defined as a uniocular acuity of ≥0.750 log units, and an interocular difference of <0.1 log units) was slightly more common for the occluded children (29%) than for those who wore spectacles only (20%). More of the strabismic eyes of the occluded children also improved than the spectacles only group (74% of A1, 59% of B), while similar proportions of the dominant eyes improved (42% of A1, 41% of B). Deterioration was rare, occurring for one dominant eye of each group and for the strabismic eye of only one child who wore spectacles only. Two of the occluded children had reduced acuity in the dominant eye before occlusion, which may be explained by a lack of cooperation with the VA test or overaccommodation with spectacles.

The improvement in visual acuity proved significant for both eyes of both groups for the 12 month period (Fig 3 and Table 2). This was also reflected in the reduction in interocular acuity difference for the occluded group A1 (paired t test p = 0.004), but not for group B (p = 0.57). The outcome for the occluded group was also significantly better in terms of final visual acuity for the strabismic eyes (unpaired t test 1.98, p = 0.05), and smaller interocular acuity difference (unpaired t 2.12, p = 0.04). The visual outcome for the dominant eyes was comparable (p > 0.05). All of these factors support the benefits of occlusion over spectacles only.

Visual improvement was maximal within the first 3 months of occlusion, and the change in both strabismic eye acuity and interocular difference was significantly larger for the oc-
The magnitude of change in visual acuity did not differ significantly for different age groups assessed by analysis of variance (ANOVA), or for individual time periods (p > 0.05).

Since the numbers occluded diminished over the 12 month period, group characteristics were looked at for each time period to avoid variables which can influence outcome (Table 1). A transient increase in the relative proportion of anisometropes among the occluded group for the period at 9 months (p = 0.04) did not alter the mean strength of refractive error (p > 0.05). The groups, therefore, remained comparable throughout the follow up period.

INVESTIGATION OF OCCLUSION EFFICACY IN RELATION TO DURATION WORN (GROUP A, N = 119)

There was an overall reduction in amblyopia prevalence by 18% (100% reduced to 82%), with 37% of strabismic eyes and 17% of dominant eyes improving throughout the occlusion period. A gradual bilateral improvement was again evident (Fig 4), but the mean improvement was significantly larger for the strabismic than the dominant eyes for the two periods up to 400 hours (Table 3). A deterioration of 0.1 log units for one dominant eye of a 4 year old child (53 months) is likely to represent occlusion amblyopia rather than a lack of cooperation with the VA test since the fellow eye had improved by 0.2 log units.

For 17 children (14%), the strabismic eye regressed by ≥0.125 log units on stopping occlusion, nine of whom had previously achieved normal visual acuity. Four children manifested a bilateral regression, which may reflect a refractive change or temporary poor compliance with spectacles or the VA test, although neither of the latter were reported. Amblyopia recurred in another three children who had achieved normal VA, based on an improvement in the VA of the dominant eye as opposed to a deterioration in the strabismic eye had improved by 0.2 log units.

There was an overall reduction in amblyopia prevalence by 18% (100% reduced to 82%), with 37% of strabismic eyes and 17% of dominant eyes improving throughout the occlusion period. A gradual bilateral improvement was again evident (Fig 4), but the mean improvement was significantly larger for the strabismic than the dominant eyes for the two periods up to 400 hours (Table 3). A deterioration of 0.1 log units for one dominant eye of a 4 year old child (53 months) is likely to represent occlusion amblyopia rather than a lack of cooperation with the VA test since the fellow eye had improved by 0.2 log units.

For 17 children (14%), the strabismic eye regressed by ≥0.125 log units on stopping occlusion, nine of whom had previously achieved normal visual acuity. Four children manifested a bilateral regression, which may reflect a refractive change or temporary poor compliance with spectacles or the VA test, although neither of the latter were reported. Amblyopia recurred in another three children who had achieved normal VA, based on an improvement in the VA of the dominant eye as opposed to a deterioration in the strabismic eye had improved by 0.2 log units.

The magnitude of change in visual acuity did not differ significantly for different age groups assessed by analysis of variance (ANOVA), or for individual time periods (p > 0.05).

Since the numbers occluded diminished over the 12 month period, group characteristics were looked at for each time period to avoid variables which can influence outcome (Table 1). A transient increase in the relative proportion of anisometropes among the occluded group for the period at 9 months (p = 0.04) did not alter the mean strength of refractive error (p > 0.05). The groups, therefore, remained comparable throughout the follow up period.

INVESTIGATION OF OCCLUSION EFFICACY IN RELATION TO DURATION WORN (GROUP A, N = 119)

There was an overall reduction in amblyopia prevalence by 18% (100% reduced to 82%), with 37% of strabismic eyes and 17% of dominant eyes improving throughout the occlusion period. A gradual bilateral improvement was again evident (Fig 4), but the mean improvement was significantly larger for the strabismic than the dominant eyes for the two periods up to 400 hours (Table 3). A deterioration of 0.1 log units for one dominant eye of a 4 year old child (53 months) is likely to represent occlusion amblyopia rather than a lack of cooperation with the VA test since the fellow eye had improved by 0.2 log units.

For 17 children (14%), the strabismic eye regressed by ≥0.125 log units on stopping occlusion, nine of whom had previously achieved normal visual acuity. Four children manifested a bilateral regression, which may reflect a refractive change or temporary poor compliance with spectacles or the VA test, although neither of the latter were reported. Amblyopia recurred in another three children who had achieved normal VA, based on an improvement in the VA of the dominant eye as opposed to a deterioration in the strabismic eye had improved by 0.2 log units.
Indicates significant at 5% level.

Table 3  Comparison of the mean (SD) change in GAC score for the strabismic and dominant eyes for the six quantities of occlusion worn, by unpaired Student’s t test (n = 119).

Table:<br>
<table>
<thead>
<tr>
<th>Quantity of occlusion</th>
<th>n</th>
<th>Strabismic eye</th>
<th>Dominant eye</th>
<th>df</th>
<th>t Value</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial &lt;200 hours</td>
<td>105</td>
<td>0.144 (0.202)</td>
<td>0.024 (0.156)</td>
<td>208</td>
<td>-3.85</td>
<td>0.0001*</td>
</tr>
<tr>
<td>&gt;200 to &lt;400 hours</td>
<td>78</td>
<td>0.076 (0.141)</td>
<td>0.022 (0.088)</td>
<td>154</td>
<td>-2.88</td>
<td>0.005*</td>
</tr>
<tr>
<td>&gt;400 to &lt;600 hours</td>
<td>55</td>
<td>0.051 (0.151)</td>
<td>0.016 (0.089)</td>
<td>108</td>
<td>-1.48</td>
<td>0.14</td>
</tr>
<tr>
<td>&gt;600 to &lt;800 hours</td>
<td>44</td>
<td>0.028 (0.148)</td>
<td>0.016 (0.074)</td>
<td>86</td>
<td>-0.50</td>
<td>0.62</td>
</tr>
<tr>
<td>&gt;800 to &lt;1000 hours</td>
<td>36</td>
<td>0.021 (0.129)</td>
<td>0.039 (0.079)</td>
<td>70</td>
<td>0.71</td>
<td>0.48</td>
</tr>
<tr>
<td>&gt;1000</td>
<td>36</td>
<td>0.007 (0.086)</td>
<td>0.010 (0.083)</td>
<td>70</td>
<td>0.41</td>
<td>0.68</td>
</tr>
<tr>
<td>Post-occlusion to final</td>
<td>119</td>
<td>0.013 (0.056)</td>
<td>-0.018 (0.082)</td>
<td>236</td>
<td>0.77</td>
<td>0.44</td>
</tr>
</tbody>
</table>

*Indicates significant at 5% level.

Discussion

The greater improvement in logMAR acuity for the strabismic eyes of the occluded children than for the spectacles only group confirms the positive influence of occlusion as an effective amblyopia treatment. Maximal influence within the first 3 months of initiation of occlusion confirms previous reports, which have employed a variety of crowded and uncrowded non-logMAR acuity tests, but the influence extended to 6 months in the current study. This has clinical implications for the management of strabismic amblyopia, in terms of both cost and the motivational influence on patients and parents. Occlusion may be prolonged unnecessarily in many cases, which is borne out by the lack of difference in the time to achieve “normal” acuity and the time to maximum acuity for the residual amblyopes in the study. It would seem that “normalisation” of visual acuity is not achieved in response to 6 months of occlusion, the prognosis for achieving this is poor.

The observed visual acuity improvement for the dominant eyes is likely to represent a response to correction of optical defocus in some cases, but as has been acknowledged this is unlikely to be the sole factor in those displaying continuing improvement, particularly with small refractive corrections. A training effect with the test is also probable in the early stages, but visual improvement for the dominant eye over an extended period is likely to represent the normal maturation of high contrast linear acuity, which involves anatomical, physiological, and cognitive changes. This was emphasised by assessment of threshold linear visual acuity with a logMAR crowded test throughout the study. Clearly the younger children had poorer GAC scores than the older children in the study group, and differences between visually normal children and adults has already been acknowledged. The rate of improvement did not differ for different age groups, although the numbers were skewed towards the age groups 5 and 6 years. This requires a larger study encompassing a wider age range,
with equivalent numbers in each group, to be explored in more detail.

As in previous studies, age at initiation of treatment was not influential on outcome in the current study.\textsuperscript{12, 15, 28} All children were within the sensitive period of visual development which extends until at least 8 years of age.\textsuperscript{15, 36}

The optimal duration of 400 hours of occlusion equates with a relatively wide range of time, from 6 weeks to 6 months, depending on the daily dosage worn. While full time occlusion proved more effective for the period from 200 to 400 hours, the results were inconclusive in relation to the initial 200 hour period of occlusion wear. This area clearly requires further study, with precise monitoring of occlusion compliance.

Percentage compliance in itself did not influence efficacy in a major way, in contrast with previous reports.\textsuperscript{18, 19} Although an effect is implied by the superiority of full time occlusion over part time, and an optimal response to 400 hours of occlusion wear.

Coexistence of strabismus and anisometropia are known to constitute a barrier to the achievement of “normal” VA,\textsuperscript{29–31} but the influence of occlusion on these amblyopic categories was not essentially different, although a tendency for more dense amblyopia equated with a poorer outcome.

Since normal retinocortical organisation dictates that the fovea has optimal visual acuity, with visual acuity dropping off sharply with increasing retinal eccentricity,\textsuperscript{32} the poorer outcome in those with eccentric fixation is not surprising. Fixation has received little attention in recent clinical amblyopia studies, but clearly even small degrees of eccentric fixation remain a therapeutic challenge,\textsuperscript{33} therefore greater emphasis on treatment specifically targeted at this feature may improve the success rate of amblyopia therapy.

The number achieving normal VA in this study was poor in comparison with some other studies,\textsuperscript{14, 17, 28–31} which have employed Snellen and single optotype tests, and in some cases included children with no quantified VA preocclusion. The amblyopia criterion of one line difference between the two eyes, and ability to assess the threshold for the logMAR crowded test, compared with non-logMAR tests, undoubtedly influenced this.

The natural history of amblyopia is relatively unknown, although recent reports suggest visual acuity deteriorates without intervention.\textsuperscript{25, 26} This was borne out in only one child in the current study, therefore deterioration is not inevitable without occlusion treatment.\textsuperscript{26}

It is acknowledged that this study is not randomly controlled, and this is a future aim, but the results strongly support occlusion as a beneficial therapy in amblyopia. The influence is maximal during the first 400 hours worn, and within the first 3–6 months of occlusion treatment. A number of other factors may influence the change in VA beyond this time period. The visual system is flexible in childhood and developmental factors are likely to have a significant influence on the changes in visual acuity observed. Optical correction, occlusion wear, and visual maturation all played a part in visual acuity improvement in the study group, but normal maturational processes were disrupted for the strabismic eyes. The use of logMAR acuity cards is strongly recommended as an accurate means of assessing threshold acuity in children. This allows improvement in the dominant eye to be monitored, thus avoiding misinterpretation of change in the amblyopic eye as a treatment effect as opposed to visual maturation.

I would like to express my sincere gratitude to Professor Gordon N Dutton for his invaluable comments on the manuscript, and his continual support and encouragement.


\textsuperscript{7} Brock PW, Greener I. Fixation anomalies in amblyopia. Arch Ophthalmol 1952;47:775–86.


