Optimising deep anterior lamellar keratoplasty (DALK) using intraoperative online optical coherence tomography (iOCT)

Philipp Steven, Carolin Le Blanc, Eva Lankenau, Marc Krug, Stefan Oelckers, Ludwig M Heindl, Uta Gehlsen, Gereon Huettmann, Claus Cursiefen

ABSTRACT

Background/aims To describe the use of intraoperative online optical coherence tomography (iOCT) for improving deep anterior lamellar keratoplasty (DALK) surgery.

Methods Retrospective case series of 6 eyes of 6 male patients with keratokonus, corneal dystrophy or herpetic stromal scars undergoing DALK were investigated using intraoperative optical coherence tomography and postsurgical image/video analysis. Main outcome measures were: visibility of surgical steps, especially, assessment of placement depth of injection needle, preparation of bare Descemet’s membrane and drainage of interface fluid.

Results iOCT enables real-time visualisation of all surgical steps of DALK procedure in all patients. Placement of air injection needle above Descemet’s membrane was reliably monitored as was presence of bare Descemet’s membrane and potential interface fluid.

Conclusions iOCT assists with visualisation of injection needle placement and with assessment of bare Descemet’s membrane as well as interface fluid during the DALK procedure. Overall iOCT may be a helpful device that supports surgeons in all steps of DALK procedure.

INTRODUCTION

Deep anterior lamellar keratoplasty (DALK) using the big-bubble technique was first described by Anwar and Teichmann. DALK uses a stromal air injection for dissection of stromal tissue from Descemet’s membrane (DM) followed by engraftment of a stromal donor button, and is performed for treatment of corneal stromal diseases such as keratokonus, hereditary corneal degeneration or corneal scarring. This technique has demonstrated advantages over perforating keratoplasty (PKP), such as absent endothelial transplant rejections and reduced postoperative complications. The most important limiting factor for visual outcome in DALK is incomplete stromal dissection. Nonetheless, the American Academy of Ophthalmology in a recent technology assessment report suggests DALK to be as good as PKP in terms of visual acuity, but better in terms of safety because of absent risk of endothelial immune reactions.

Adaptation of DALK worldwide is slow, partly because of the technical challenges of this surgery and its non-standardised nature. That is partly related to the limited visualisation of key surgical steps during surgery which is based on tissue transparency and hindered estimation of depth ratio due to en-face view onto the cornea through the operation microscope. Therefore, optical cross-sections would allow the surgeon to better navigate and control the procedure, especially crucial steps, such as assessment of depth of intrastromal needle placement, achievement of pure DM preparation and absence of interface fluid at the end of surgery.

Recently, optical coherence tomography (OCT) has been modified to be used in an intraoperative setting. Hereby, two main approaches are possible: (1) using hand-held devices or (2) integration of the OCT into the operation microscope. The latter has several advantages, such as online visualisation of all surgical steps without the necessity of interrupting the procedure and alignment of the OCT-image to any given zoom and focus-step of the microscope. This study is the first to evaluate intraoperative OCT (iOCT) technology to visualise DALK surgery online without the necessity of discontinuing the procedure for OCT measurements.

METHODS

Intraoperative online OCT technology

For intraoperative online OCT evaluation, a microscope-mounted, commercially available spectral-domain OCT camera (OCT, OptoMedical Technologies GmbH, Luebeck, Germany) using a 840 nm central wavelength and performing 10 000 A-scans/s was used. The iOCT was connected to the camera port of an OCT-compatible MOELLER Hi-R 900 A near infrared microscope (Moeller-Wedel, Wedel, Germany). The OCT image was displayed on a separate touch screen in front of the surgeon’s visual field to enable easy exchange between microscopic and OCT images. iOCT imaging included recording of high-resolution videos and images of approximately 10 μm axial resolution in air. Image size was 4.2 mm axially in air, respectively, 3.2 mm axially in water, and between 5 and 29 mm in lateral direction, depending on the microscopic zoom factor used.

Patients

iOCT was used in six consecutive male patients aged 25–64 years, suffering from keratokonus (3 cases), stromal scarring (2 cases) or hereditary corneal stromal dystrophy Francois (1 case) (table 1).

In two of the cases, DALK had to be converted to penetrating keratoplasty due to larger DM perforation during lamellar preparation.

Evaluation parameters included iOCT-visualisation of trephination depth, deep stromal placement of air...
injection needle, preparation of bare DM, interface fluid and inter-
face alignment of the graft.

Procedure
DALK was performed as described previously.12 13 Briefly, the
recipient cornea was trephined to 90% of minimal corneal
thickness at the 8 mm paracentral region using a
Hessberg-Barron trephine (Domilens, Hamburg) of 7.75 mm.
Thickness was preoperatively assessed using Pentacam. A
30-gauge injection needle was placed in deep tissue directly
above DM, and the placement of the needle tip was observed
using intraoperative OCT. Then, air was injected until the
border of the whitening expanded towards the trephination
interface. Following lamellar dissection of the superficial and
mid-stromal tissue a pre-Descemet large air cavity (big-bubble)
was opened and the remaining tissue was dissected from DM to
obtain a bare DM situation under viscoelastic protection
(injected into the space). The donor tissue was placed endothe-
al and deep stromal tissue preparation led to conversion into PKP . In these two
patients, however, in two cases, rupture of DM during deep
OCT (Figure 1G). These steps were reliably monitored in 6/6
patients, however, in two cases, rupture of DM during deep
stromal location. Super
cial and deep stromal tissue preparation
(Figure 1D,E) was monitored reliably until bare DM was
obtained (Figure 1F). Graft insertion and suturing was moni-
tered (Figure 2F). By this
pre-Descemet cavity could then be opened (Figure 2E), and prep-
ration of bare DM could be performed (Figure 2F). By this
approach 2/4 cases could be ‘rescued’, and DALK was performed.
In the remaining two patients, DM ruptured and PKP was
performed.

iOCT guides ‘microbubble-incision technique’
In 4/6 cases no ‘big-bubble formation’ was observed, however,
small air bubbles were evenly distributed throughout the whole
corneal stroma (Figure 2A). Following superficial lamellar stromal
dissection (Figure 2B) a 15° knife was used to incise individual air
bubbles in order to form a larger cavity according to the previously
described ‘micro-bubble incision technique’13 (Figure 2C,D). This
pre-Descemet cavity could then be opened (Figure 2E), and prep-
paration of bare DM could be performed (Figure 2F). By this
approach 2/4 cases could be ‘rescued’, and DALK was performed.
In the remaining two patients, DM ruptured and PKP was
performed.

iOCT helps in assessment of interface fluid and interface
steps at the end of surgery
After placement of the graft into the corneal recipient’s bed and
suturing, remaining interface fluid could be detected by intrao-
perative OCT (Figure 3A) in two out of four patients. Following
gentle massage of the corneal surface and blunt opening of
interface in all four quadrants under air tamponade of anterior
chamber, remaining fluid could safely be drained (Figure 3B).
Additionally, congruence of graft and donor interface could
be secured, and interface steps corrected before end of the
surgery.

Table 1  Patients, diagnosis 1 and procedure

<table>
<thead>
<tr>
<th>Patient</th>
<th>Diagnosis</th>
<th>Procedure/performance</th>
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<tbody>
<tr>
<td>1. Male, age 25</td>
<td>Keratoconus</td>
<td>Pre-Desmet air bubbles, DM rupture, conversion into PKP</td>
</tr>
<tr>
<td>2. Male, age 32</td>
<td>Keratoconus</td>
<td>Big bubble, DALK</td>
</tr>
<tr>
<td>3. Male, age 39</td>
<td>Keratoconus</td>
<td>Pre-Desmet air bubbles, DM rupture, conversion into PKP</td>
</tr>
<tr>
<td>4. Male, age 38</td>
<td>Corneal dystrophy Francois</td>
<td>Big bubble, DALK</td>
</tr>
<tr>
<td>5. Male, age 64</td>
<td>Herpetic stromal scarring</td>
<td>Pre-Desmet air bubbles, microbubble incision technique, DALK</td>
</tr>
<tr>
<td>6. Male, age 49</td>
<td>Herpetic stromal scarring</td>
<td>Pre-Desmet air bubbles, microbubble incision technique, DALK</td>
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From 6 patients, 4 received DALK, whereas 2 procedures had to be converted into PKP because of larger Descemet’s membrane rupture during deep lamellar preparation. In both patients, no big-bubble formation was achieved.

DALK, deep anterior lamellar keratoplasty; DM, Descemet’s membrane; PKP, perforating keratoplasty.
Analysis of trephination depth and placement of air injection needle

Retrospective image analyses of representative OCT images demonstrate a trephination depth of approximately 270 or 198 μm, and a placement of the injection needle at 311 or 296 μm (Figure 4). The thickness of the cornea was measured with 389 or 333 μm. Trephination depth, therefore, reached 69% or 67% of the total corneal thickness, respectively. The air injection needle was placed at a depth of 80% or 88% of total corneal thickness; however, this value represents the centre core of the injection needle.

DISCUSSION

DALK is an established surgical technique for treatment of stromal corneal diseases, such as keratoconus. Several preparation steps are challenging such as localisation of instruments within the stroma or estimation of remaining tissue thickness in relation to DM because they cannot be visualised precisely by conventional en-face microscopy. Approaches, such as the preoperative measurement of the stromal thickness using Pentacam\textsuperscript{16} are feasible. However, intraoperative visualisation of the trephination depth and placement of the needle would be superior over preoperative measurements and would aid the surgeon during the procedure.

OCT has been used to image the anterior segment of the eye\textsuperscript{17} prior to and after deep anterior keratoplasty to plan surgical steps and to analyse the operative outcome.\textsuperscript{18} By contrast, online intraoperative optical coherence tomography (iOCT), enables to visualise tissues and, to a certain extent, instruments in virtual cross-section of the region of interest, thereby allowing to precisely identify different tissue structures, tissue thickness, and so on, without interrupting the procedure and with much higher accuracy. The adaptation of OCT for intraoperative use, in particular, in lamellar keratoplasty is, therefore, of great interest and has recently been shown by our group and others.\textsuperscript{7,8,19,20} Whereas most approaches use customised and modified OCT systems so far used in patients before or after surgery\textsuperscript{18} or slit beam imaging\textsuperscript{21} during surgery with the necessity to interrupt the procedure, this iOCT-system is the first device that was specifically developed to be mounted on a surgical microscope. This allows especially during delicate surgical
steps of DALK to view the tissue from another angle without interrupting the procedure itself. Furthermore, by integrating the iOCT into the microscope and adapting its functions to zoom, focus and image acquisition, an online OCT imaging during surgery is enabled, as recently described to improve DMEK, that is, posterior lamellar corneal transplant surgery.

In case of DALK, iOCT demonstrated to be a helpful device in verifying trephination depth and needle insertion in DALK for precise identification of the accurate preparation depth. Very low or extremely deep trephination depths would, therefore, be accurately observed prior to further preparation steps, for example, leading to retrephination, or particular attention, in needle placement. Although the use of a femtosecond laser reliably improves accuracy of the trephination depth, iOCT would assist in manual trephination, still used by the majority of corneal surgeons performing DALK. Preparation of bare DM becomes easier due to intraoperative control of remaining stromal tissue, however, DM rupture could not be prevented in all cases investigated in this small series. One reason could have been that in younger patients, corneal stroma adherence is increased in comparison to older patients requiring a higher manual force during dissection and, thereby, increasing the risk of perforation. Future technical developments, such as 3D real-time OCT imaging of the entire cornea would enable the surgeon to locate instruments without constantly aligning the OCT-beam which would then allow to better navigate and reduce complication rates in particularly difficult cases. In case of lacking big bubble formation, ‘Microbubble incision technique’ controlled by iOCT facilitated safer preparation of DM due to definite localisation of artificial intrastromal cavities. Furthermore, visualisation and drainage of clinically invisible interface fluid would avoid delayed healing and reduce air injection rates for this indication. Overall, the presented iOCT is the first intraoperative OCT device that is fully integrated into a surgical microscope, enabling real-time OCT imaging during surgical procedures of the

Figure 2  Incomplete big-bubble formation after air injection in deep anterior lamellar keratoplasty. Rescue attempt using the ‘micro-bubble incision technique’ (for details see ref.15). (A) Intrastromal air (white tissue) without big-bubble formation. After lamellar dissection (B), remaining intrastromal predescemetic air bubbles are sequentially incised using a sharp instrument (15° knife, arrow; C). That was repeated with more and deeper air bubbles (D, arrow and E), which were then opened to expose bare Descemet’s membrane (F).

Figure 3  (A) Intraoperative optical coherence tomography enables to assure graft attachment to Descemet’s membrane (arrows) in deep anterior lamellar keratoplasty (DALK) and guides drainage of interface fluid between donor stroma and host Descemet’s membrane at the end of DALK surgery. Note absent fluid after drainage on the right side (B).
iOCT also demonstrates benefits for anterior lamellar keratoplasty (DALK) and may aid surgeons, in particular, by steepening the learning curve at the beginning of surgical training in lamellar keratoplasty. In comparison with other imaging approaches, intraoperative OCT still has some limitations, such as higher costs, fixed angle and single scan direction with only limited degree of rotation. However, in challenging situations such as reduced visibility, uncertain tissue depth during lamellar preparation or failed big-bubble formation iOCT enables precise evaluation of the situation and possible ‘rescue-measures’ for successful completion of the procedure without the need of additional staff for handling hand-held devices and without the need to interrupt the procedure.

Figure 4

Quantitative measurements of corneal thickness, depth of the air injection needle and trephination depth (A) Representative para中央al optical coherence tomography (OCT) image of patient 5 with indicators for corneal thickness (1=389 \mu m), depth of the air injection needle (2=311 \mu m) and trephination depth (3=270 \mu m) (B) Representative paraentral OCT image of patient 2 with indicators for corneal thickness (1=333 \mu m), depth of the air injection needle (2=296 \mu m) and trephination depth (3=198 \mu m). The dotted line represents the posterior surface of the cornea.

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Contributors

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Competing interests

EL and MK are employees of OptoMedical Technologies GmbH, SO is employee of Moeller-Wedel GmbH, EL, MK and SO are shareholders of OptoMedical Technologies.

Ethics approval

Provenance and peer review

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Data sharing statement

Data will be available on request by the corresponding author.

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