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Four-year observation of the changes in corneal endothelium cell density and the correlated factors after Implantable Collamer Lens V4c implantation

Wen Yang,¹ Jing Zhao,² Ling Sun,² Jiao Zhao,³ Lingling Niu,² Xiaoying Wang,² Xingtao Zhou ²

¹Department of Ophthalmology, The Third People's Hospital of Chengdu, The Affiliated Hospital of Southwest Jiaotong University, Chengdu, China

²Department of Ophthalmology, Eye and ENT Hospital of Fudan University; NHC Key Laboratory of Myopia; Laboratory of Myopia, Chinese Academy of Medical Sciences, Shanghai, China

³Department of Ophthalmology, People's Hospital of Leshan, Leshan, China

Correspondence to

Dr Xingtao Zhou, Department of Ophthalmology, Eye and ENT Hospital of Fudan University; NHC Key Laboratory of Myopia; Laboratory of Myopia, Chinese Academy of Medical Sciences, Shanghai, China; doctzhoxingtao@163.com

WY and JZ contributed equally.

WY and JZ are joint first authors.

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ABSTRACT

Background To evaluate the changes in corneal endothelium cell density (ECD) and the correlated factors after Implantable Collamer Lens (ICL) V4c implantation.

Methods In this retrospective, consecutive study, 48 eyes of 25 patients with myopia who underwent ICL V4c implantation were enrolled. Patients were followed up for at least 4 years, during which manifest refraction, uncorrected distance visual acuity, corrected distance visual acuity (CDVA), intraocular pressure, ECD, anterior chamber depth, anterior chamber volume (ACV), anterior chamber angle (ACA), vault and distance from the corneal endothelium to the central ICL (C-ICL) were measured. Spearman's correlation analysis was used to identify variables correlated with changes in ECD, and generalised estimating equation model adjusting within-patient intereye correlations was used to predict changes in ECD.

Results All surgeries were performed safely with no complications during follow-up (average 52±2.9 months). Safety and efficacy indices were 1.23±0.22 and 1.04±0.16, respectively. No eyes had decreased CDVA, and 67% gained one or more lines. Further, 79% were within ±0.50 D, and 100% were within ±1.0 D of the attempted refraction. Additionally, a 4.03%±2.2% reduction in ECD compared with the preoperative value was observed at the last follow-up visit. Changes in ECD were significantly correlated with vault, C-ICL, change in ACA and change in ACV. Vault was the most significant factor for changes in ECD.

Conclusions ICL V4c implantation is safe and effective for myopia correction. Anterior segment biometric parameters including the vault, ACA and C-ICL may influence changes in ECD; specifically, the vault plays a major role.

INTRODUCTION

The EVO Implantable Collamer Lens (ICL; Model V4c; STAAR Surgical, Monrovia, California, USA) is a single-piece posterior chamber phakic intraocular lens designed with a central port. Since it became commercially available in 2011, it has been shown to be a safe and effective way to correct myopia.¹ ICL V4c has eliminated the prior procedure of iridotomy or iridectomy which is required by ICL implantation.² The central hole helps the aqueous flowing from the posterior chamber to the anterior chamber, which maintains the normal physiology of the anterior segment of the eye. Kawamorita *et al*³ demonstrated that the 0.36 mm central port

increases aqueous flow by using computational fluid dynamics technology and discovered a decreased risk of anterior subcapsular cataracts.

Corneal endothelium cells are important in maintaining the transparency of the cornea. The loss of corneal endothelium cells after intraocular surgery, especially lens surgery, may cause dysfunction and lead to corneal oedema.⁴ The new central port design of the ICL V4c changes the aqueous flow, and may therefore influence corneal endothelium cells. Reports^{5–7} have described that endothelium cell density (ECD) loss ranges from 2% to 9% after ICL and ICL V4c implantation. However, few reports have focused on the correlations between various factors and ECD loss after ICL V4c implantation. Therefore, evaluation of the corneal ECD changes and analysis of the correlated factors require further investigation. In this study, we aimed to observe the long-term changes in ECD after ICL V4c implantation and to investigate the correlated factors.

MATERIALS AND METHODS

Subjects

This was a retrospective, consecutive and observational study. Patients between the ages of 20 and 40 (mean age, 28.85±5.83) years who underwent ICL V4c implantation at the Department of Eye & ENT Hospital of Fudan University in Shanghai, China, from February 2015 to October 2015 were included. Inclusion criteria were as follows: age of 20–40 years, stable refractive error and no contact lens use ≥2 weeks. Exclusion criteria were as follows: ocular disease such as corneal degeneration or dystrophy, lens opacity, retinal disease and uncontrolled glaucoma, a history of ocular inflammation or trauma, previous ocular surgeries, a history of systemic diseases and ECD <2000 cells/mm². All patients underwent routine preoperative examinations.

Examinations

Preoperative examinations included: (1) uncorrected distance visual acuity (UDVA), corrected distance visual acuity (CDVA), spherical equivalent (SE), slit lamp examination and fundoscopic examinations; (2) intraocular pressure (IOP) was measured with a non-contact tonometer (Canon Full Auto Tonometer TX-F; Canon, Tokyo, Japan); (3) anterior chamber depth (ACD), corneal thickness, corneal diameter (white to white), anterior chamber volume (ACV) and anterior chamber angle

(ACA) were measured with a Pentacam HR (Oculus Optikgerate Wetzlar, Germany); and (4) ECD was measured by non-contact specular microscopy (SP-2000P, Topcon Corporation, Japan).

Operation procedure

Three days before surgery, antibiotic eye drops were prescribed four times daily. All surgeries were performed by two experienced surgeons (XZ, XW) with the same technique. After topical anaesthesia was administered, a hole ICL was inserted through a 3.0 mm clear corneal incision after sodium hyaluronate was placed in the anterior chamber. The hyaluronate was then washed out using a balanced salt solution after the ICL was placed in the posterior chamber. The details of the procedure have been described by Chen *et al.*⁸ After the surgery, patients were prescribed antibiotic and steroid eye drops four times per day within 2 weeks.

Follow-up

All patients were followed up for at least 4 years. The postoperative examinations including UDVA, CDVA, refractive power, IOP, ECD and anterior segment measurements were performed by a skilled examiner. Another examiner who was blinded to the patients' information overruled the diagram and measured the vault and the distance between central ICL and corneal endothelium (C-ICL) values using the image analysis software program accompanying the Pentacam device. The ECD was measured three times, as the value varied within ± 30 cells; it was considered to have good repeatability. The postoperative values at 3 months and at the last follow-up were included in the analysis, as these two time points represent early and long-term outcomes, respectively.

Statistical analysis

All statistical analyses were performed using the IBM Statistical Package for the Social Sciences V.23.0. Data are expressed as the mean \pm SD. The Shapiro-Wilk test was used to determine if the variables accord with normal distribution. The paired t-test was used for normally distributed data, while the Wilcoxon signed-rank test was used for non-normally distributed ones. Repeated measurement analysis using generalised estimating equation (GEE) model to adjust for intereye dependency was applied to compare the differences in measurement data before and after the operation. Spearman correlation analysis was used to identify relationships between the changes in ECD and vault, C-ICL, the change in ACA, ACV and ACD. GEE model adjusting within-patient intereye correlations was employed to predict the change in ECD. P values < 0.05 were considered statistically significant.

RESULTS

Forty-eight eyes of 25 patients underwent ICL V4c implantations. All surgeries and postoperative follow-ups were uneventful, and no complications such as infection, bleeding or ocular hypertension were noted. The mean follow-up duration was 52.06 ± 2.88 months (range, 48–57 months). The clinical parameters and biometric values of the patients before and after ICL implantation (3 months postoperatively and the last follow-up) are shown in table 1.

Safety and efficacy

At the last follow-up, the safety index (postoperative CDVA/preoperative CDVA) was 1.23 ± 0.22 (range, 1–1.75), and the efficacy index (postoperative UDVA/preoperative CDVA) was 1.04 ± 0.16 (range, 0.8–1.5). Among all of the eyes, 83% had

Table 1 The clinical parameters and biometric values of the patients before and after the Implantable Collamer Lens implantation

Parameters	Preoperative	3-month follow-up	The last follow-up
UDVA (Logmar)	1.30 \pm 0.36	-0.04 \pm 0.09*†	0.02 \pm 0.09*†
Range (min, max)	(0.8–2.0)	(-0.2 to 0.2)	(-0.2 to 0.2)
CDVA (Logmar)	0.01 \pm 0.1	-0.09 \pm 0.07*	-0.09 \pm 0.09*
Range (min, max)	(-0.1 to 0.4)	(-0.2 to 0.1)	(-0.2 to 0.09)
SE (D)	-10.11 \pm 3.03	0.10 \pm 0.35*†	-0.20 \pm 0.32*†
Range (min, max)	(-20.5‡ to -5.5)	(-0.47 to 0.88)	(-0.69 to 0.52)
ACD (mm)	3.14 \pm 0.22	2.97 \pm 0.26*	2.96 \pm 0.22*
Range (min, max)	(2.80–3.67)	(2.42–3.57)	(2.61–3.51)
IOP (mm Hg)	14.45 \pm 2.58	13.25 \pm 2.51	13.73 \pm 1.86
Range (min, max)	(8.3–20.1)	(9.2–18.3)	(10.9–18.7)
ACV (μ L)	194.71 \pm 27.29	115.52 \pm 24.30*	116.6 \pm 20.15*
Range (min, max)	(154–261)	(75–163)	(84–165)
ACA ($^{\circ}$)	37.30 \pm 4.08	23.34 \pm 5.12*†	24.24 \pm 4.41*†
Range (min, max)	(28.7–44.8)	(11.10–33.8)	(15.7–33.3)
ECD (cells/mm ²)	2913 \pm 169	2963 \pm 95†	2795 \pm 166*†
Range (min, max)	(2403–3135)	(2705–3106)	(2322–3007)

*Versus preoperative, $p < 0.05$.

†3-month follow-up versus the last follow-up, $p < 0.05$.

‡The preoperative refractive power of this eye was -18.75 DS/-3.50 DC \times 15 $^{\circ}$.

The spherical power was completely corrected and the attempted SE was -2.50 D (-0.75 DS/-3.50 DC \times 15 $^{\circ}$).

ACA, anterior chamber angle; ACD, anterior chamber depth; ACV, anterior chamber volume; CDVA, corrected distance visual acuity; ECD, endothelium cell density; IOP, intraocular pressure; SE, spherical equivalent; UDVA, uncorrected distance visual acuity.

a postoperative UDVA of 20/20 or better. Further, 100% had a postoperative UDVA of 20/40 or better. One hundred per cent postop UDVA was the same or better than preoperative CDVA. No eyes had decreased CDVA, and 67% of the eyes gained one or more lines; 27% gained two or more lines (figure 1A–C).

Predictability and stability

The attempted versus achieved SE corrections is shown in a scatter plot (figure 1D). At the last follow-up, 79% of the eyes were within ± 0.50 D; none of the eyes were beyond ± 1.0 D of the attempted refraction (figure 1E). The average SE powers were 0.10 ± 0.35 D and -0.20 ± 0.32 D at the 3-month follow-up and at the last follow-up session, respectively. There were statistically significant differences in UDVA and SE between the two postoperative follow-ups. The refraction remained stable during the follow-up period (figure 1F).

Anterior biometric parameters

There was a significant difference in the values of ACD, ACV and ACA between the preoperation and postoperation measurements (both at 3 months and at the last follow-up). There was no significant difference in the value of IOP between the preoperation and the follow-up measurements (repeated measurement analysis, $p > 0.05$). The ACA at the 3-month follow-up was significantly lower than the last follow-up ($p < 0.05$).

Vault of ICL

Three months after the operation and at the last follow-up, the mean values of vault were 424.72 ± 205.74 and 401.25 ± 196.48 μ m, respectively, which were not significantly different (Wilcoxon signed-rank test, $p = 0.059$). Of all the eyes, 67% (33 eyes) were between 250 μ m and 750 μ m. Further, 22.9% (11/48) of the eyes were between 150 μ m and 250 μ m (no eyes were below 150 μ m), and 4% (2/48) of the eyes were

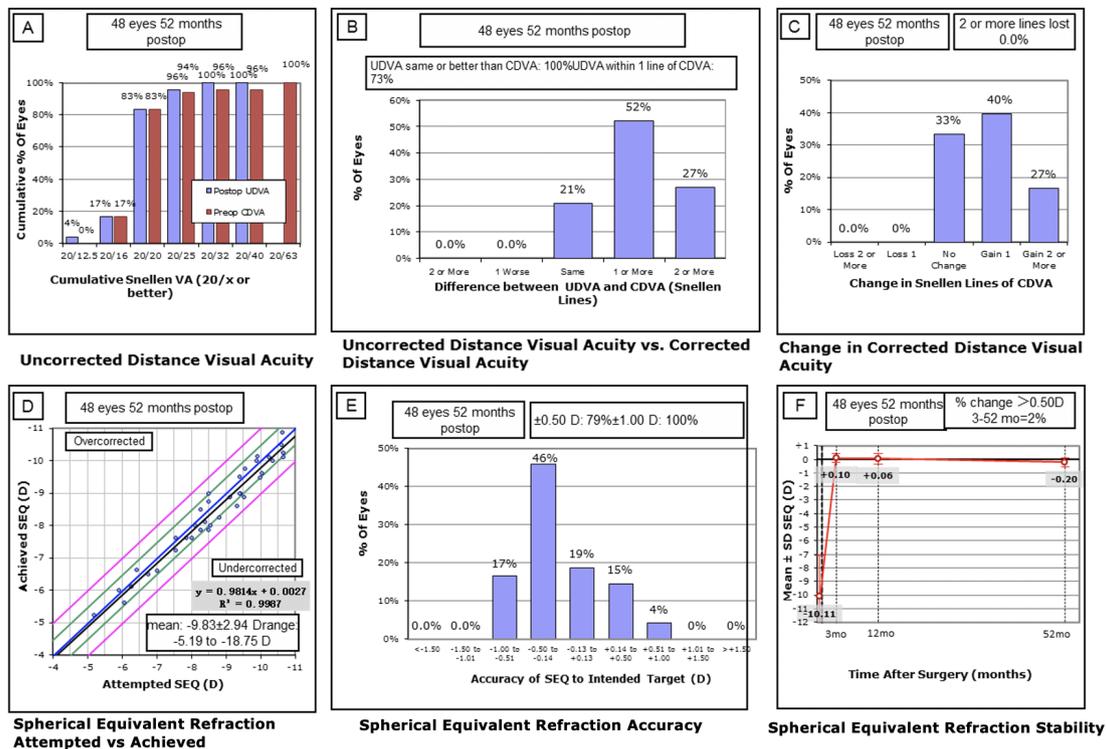


Figure 1 Clinical outcomes of 48 eyes with myopia at the last follow-up after the implantation of Implantable Collamer Lens V4c. (A) Postoperative uncorrected distance visual acuity (UDVA) versus preoperative corrected distance visual acuity (CDVA). (B) Difference between postoperative UDVA and preoperative CDVA. (C) Change in CDVA. (D) Attempted spherical equivalent refraction change versus the achieved spherical equivalent refraction change. (E) Distribution of postoperative spherical equivalent refraction accuracy. (F) Stability of spherical equivalent refraction up to 52 months. D, diopters; mo, months; Postop, postoperative; Preop, preoperative; SEQ, spherical equivalent.

between 900 μm and 920 μm . Fortunately, no cataracts or high IOPs were observed among the eyes below or above the ideal vault range.

The distance between central ICL and corneal endothelium

Three months after the operation and at the last follow-up visit, the mean values of C-ICL were $2428.33 \pm 248.10 \mu\text{m}$ and $2425.21 \pm 246.17 \mu\text{m}$, respectively; this difference was non-significant (paired t-test, $p=0.143$).

Corneal endothelium density

Preoperatively, as well as 3 months after the operation and at the last follow-up, the mean values of ECD were 2913 ± 169 cells/ mm^2 , 2963 ± 95 cells/ mm^2 and 2795 ± 166 cells/ mm^2 , respectively. No significant difference was observed between the preoperative ECD value and the value at 3 months. At the last follow-up, the ECD was reduced by $4.03\% \pm 2.20\%$ compared with the preoperative value ($p < 0.001$). However, no eyes decreased to less than 2000 cell/ mm^2 or had a significant loss over 30%.

There were significant correlations between the ΔECD (preoperative ECD-last follow-up ECD) and the vault ($r=0.715$, $p < 0.001$), C-ICL ($r=-0.285$, $p < 0.05$), ΔACA (preoperative ACA-last follow-up ACA; $r=0.590$, $p < 0.001$) and ΔACV (preoperative ACV-last follow-up ACV; $r=0.539$, $p < 0.01$; figure 2). ACD and ΔACD (preoperative ACD-last follow-up ACD) were not correlated with ΔECD ($p=0.509$ and $p=0.456$). Six explanatory variables were used in the GEE model for prediction of the ΔECD . The vault, C-ICL and ΔACA was significantly associated with ΔECD and the vault was most accountable for the ECD loss (table 2).

DISCUSSION

Corneal endothelium cells have remained an important concern for patients undergoing intraocular procedures, especially anterior segment surgeries, such as lens surgery. We retrospectively evaluated patients who underwent ICL V4c implantation at our hospital when it was first introduced in China. Overall, this study aimed to investigate the long-term safety of the surgery and the long-term changes in ECD as well as the correlated factors after ICL V4c implantation.

As this study demonstrated, the efficacy index was 1.04 ± 0.16 and the safety index was 1.23 ± 0.22 at the last follow-up visit, which was similar to previous studies.⁹⁻¹² Specifically, Miao *et al*⁹ reported that the efficacy index was 1.14 ± 0.23 , and the safety index was 1.33 ± 0.22 at 3 months after ICL V4c implantation for moderate-to-high myopia. Further, Yan *et al*¹⁰ reported that the efficacy index was 1.03 ± 0.23 , and the safety index was 1.24 ± 0.26 after 2 years postoperatively. In this study, there was favourable safety, efficacy and stability of ICL V4c implantation; 67% of the eyes gained at least one line. In addition, 77% of the eyes remained within ± 0.50 D after 4 years. Although there was a statically significant difference in UDVA and SE between the two postoperative follow-ups, there was no clinical significance for this small variance. Alfonso *et al*¹¹ reported that 19.1% of the eyes gained one or more lines, and that 95% of the eyes were within ± 0.50 D after 6 months, while the mean preoperative SE was less than -9 D. In the present study, the mean preoperative SE was more than -10 D. Our results demonstrated the long-term efficacy and safety of ICL V4c implantation for patients with myopia.

At 3 months after surgery, the ECD value was 2963 ± 95 cells/ mm^2 . There was no significant difference compared with the

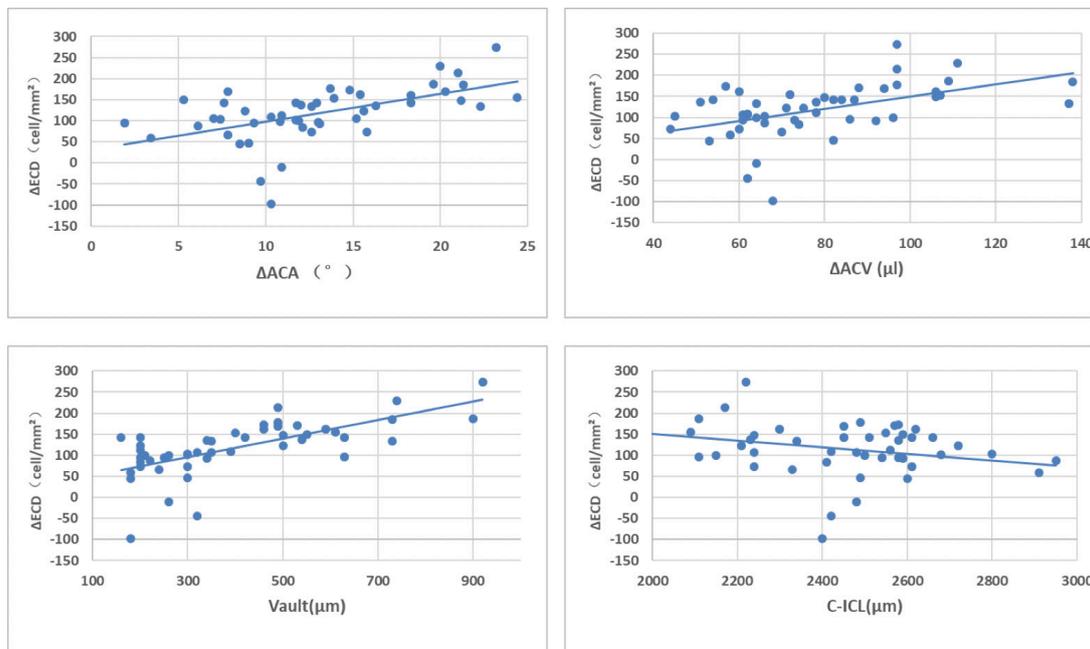


Figure 2 Correlations between the Δ ECD (preoperative endothelium cell density (ECD) – last follow-up ECD) and Δ ACA (preoperative anterior chamber angle (ACA)– last follow-up ACA), Δ ACV (preoperative anterior chamber volume (ACV) – last follow-up ACV), vault and C-ICL. C-ICL, the distance between central ICL and corneal endothelium; Δ , change in.

preoperative value. However, the ECD value was 2795 ± 166 cells/ mm^2 at 4 years postoperatively, which reflected a $4.03\% \pm 2.20\%$ reduction compared with the preoperative ECD. Bhandari *et al*¹³ reported that the ECD reduction was 6.1% at 9 months after ICL V4c implantation, and Lee *et al*¹⁴ reported a $7.8\% \pm 8.3\%$ reduction in ECD at least 5 years after ICL implantation. In another long-term study, Igarashi *et al*¹⁵ followed up patients after ICL implantation for 8 years and found that the ECD reduction was $6.2\% \pm 8.6\%$. Some studies have also specifically focused on ECD after ICL V4c implantation. In a prospective study including 147 eyes (80 subjects), Lisa *et al*¹⁶ reported a 1.7% reduction in ECD at 1 year after ICL V4c implantation. In a large multicentre case series, Kamiya *et al*¹⁷ found a mean decrease of $0.1\% \pm 10\%$ ECD reduction in 351 eyes from 7 institutes after 1 year follow-up. The 10% SD in the former study was mainly because it was a multicentre study; further, the equipment and measuring methods were different between institutions. In comparison, the SD value was only 2.2% in our study, and all of the measurements were performed using the same instrument. Our results showed a similar or lower level of reduction of ECD loss compared with previous reports, and our

follow-up period was longer (beyond 4 years), further verifying the long-term safety of ICL V4c implantation.

ECD loss may be composed of the acute loss caused by surgery and chronic loss such as physiological loss during the follow-up period. No significant reductions in ECD were observed at the 3-month follow-up visit, which indicates that there was no acute ECD loss induced by the surgery. In other words, the ECD loss in this study was associated with chronic loss, including physiological loss, which was reported to decline by about 0.6% per year¹⁸; thus, the accumulative total would be 2.4% at the last follow-up visit. We suspect that the decline in ECD may be induced by other factors which require further investigation.

In the present study, there was no significant difference in IOP at the follow-up visits compared with the preoperative value, which was similar to what was reported by Shimizu *et al*¹⁹; they observed no IOP increase at the 1-year follow-up. In addition, no correlation between IOP and the Δ ECD was detected.

The postoperative ACD values were smaller than the preoperative value, but there was no significant difference between the 3-month value and that at the last follow-up. No correlation was detected between the Δ ECD, Δ ACD or ACD at the last follow-up

Table 2 Factors predicting Δ ECD determined by generalised estimating equation model adjusting for within-patient intereye correlations

Independent variable	Mean \pm SD	Unstandardised coefficients	Standardised coefficients	95% CI		P value
				Lower limit	Upper limit	
Vault (μm)	401 \pm 196	0.205	0.625	0.097	0.313	0.000
C-ICL (μm)	2420 \pm 246	0.103	0.403	0.030	0.175	0.006
Δ ACA ($^\circ$)	78.10 \pm 21.59	3.817	0.318	0.798	6.836	0.013
Δ ACV (μL)	0.18 \pm 0.15	0.353	0.118	-0.359	1.065	0.332
Δ ACD (mm)	13.06 \pm 5.36	35.749	0.083	-45.953	117.451	0.391
ACD (mm)	29.96 \pm 0.22	3.876	0.013	-45.012	52.765	0.877

Variables in the table body are ordered according to the strength of the contribution, which was based on the standardised coefficient.

Δ Variable=preoperative variable – postoperative variable (the last follow-up).

ACA, anterior chamber angle; ACD, anterior chamber depth; ACV, anterior chamber volume; C-ICL, the distance between corneal endothelium and central ICL; ECD, endothelium cell density.

in the current study. Nevertheless, Saxena *et al*²⁰ demonstrated that a shallower ACD was a predictor factor for ECD loss after Artisan lens implantation. In another study conducted at our institution, Niu *et al*²¹ reported that there was an $8.38\pm 0.06\%$ reduction in ECD among patients whose preoperative ACD was less than 2.8 mm. The difference was mainly because the mean preoperative and postoperative 4 years ACD of the patients in this study was 3.14 ± 0.22 mm and 2.96 ± 0.22 mm, respectively; no eyes with ACD less than 2.8 mm were included. Further investigation is needed to analyse the correlation between Δ ECD and the postoperative shallow ACD less than 2.8 mm.

In this study, there was a negative correlation between the C-ICL and the Δ ECD. Several reports^{22–26} regarding the anterior chamber lens showed a decreased distance between the corneal endothelium and central ICL, which increased the loss of ECD. Two reports in 2017 emphasised the importance of C-ICL in reducing the loss of ECD.^{23,24} Although there was no exact explanation of the mechanisms, we assume that the decreased C-ICL may increase the shear stress of the aqueous flow to the endothelium; however, the mechanisms need to be investigated further.

The ACV and ACA values after surgery were significantly decreased from the preoperative value and remained stable at the last follow-up. Although there was a significant decrease in ACA at the 3-month follow-up compared with the last follow-up, the change was mild and had no clinical significance. Both the Δ ACA and the Δ ACV were positively correlated with the Δ ECD in the present study. Fernández-Vigo²⁷ reported a similar result and demonstrated that the decrease in ACA may be caused by the haptic of the ICL V4c. We postulate that the changes in ACV and ACA may have altered the aqueous flow, which resulted in an insufficient metabolism of the corneal endothelial cells. However, further investigation is needed to verify this hypothesis.

The average vault at the last follow-up was 401.25 ± 196.48 μ m. Our result was consistent with some previous reports on ICL V4c. For example, Lisa *et al*¹⁶ reported a mean average vault of 405.5 ± 184.7 μ m in 147 eyes after 1 year, and Lee *et al*²⁸ found a mean average vault of 399.5 ± 151.9 μ m in 54 eyes after 1 month. We found that there was a positive correlation between the vault and the Δ ECD. Multiple regression analysis indicated that the vault could play a major role in ECD changes. Excessively high vault values increased the risk of ECD loss and the occurrence of glaucoma. Conversely, excessively low vault values increased the risk of anterior subcapsular cataract.²⁹ A few studies have focused on the range of vault for surgical safety. Gonvers *et al*³⁰ reported that a vault equal to or less than 90 μ m was a risk factor for the development of anterior subcapsular cataracts, and that 150 μ m should be regarded as the lower limit of safe vault. Zeng *et al*³¹ suggested a vault safety range from 100 μ m to 1000 μ m. In our study, 67% of eyes were within the optimal range (between 250 μ m and 750 μ m). Further, 11 eyes were between 150 μ m and 250 μ m, and no eyes were below 150 μ m. No instances of cataracts or glaucoma were observed during the follow-up period. According to the GEE model adjusting for within-patient inter-eye correlations, the present study found that the ECD loss was correlated with vault, C-ICL and Δ ACA, while the vault was the most significant factor to predict ECD loss. The result implies that we should monitor the ECD change attentively in eyes with high vault after ICL V4C implantation for the long-term safety.

This study has several limitations. First, the sample size was small. Second, the data for other time points were missing during the long follow-up period, as some patients did not attend the scheduled follow-up visits. Third, the age of the patients ranged from 20 to 40 years old; we did not divide them into different

age groups. In the future, a study with a larger sample size with different age groups is desired to confirm the various correlation factors for ECD change after ICL V4c implantation.

In conclusion, ICL V4c implantation is a safe and effective procedure for myopia correction. The anterior segment biometric parameters including the vault, ACA and C-ICL may influence the change in ECD; however, the vault plays a major role.

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Competing interests None declared.

Patient consent for publication Obtained.

Ethics approval This study followed the tenets of the Declaration of Helsinki and was approved by the ethics committee of the Eye and ENT Hospital of Fudan University.

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Data availability statement All data relevant to the study are included in the article or uploaded as supplementary information. Our data are available when all authors agree. Email: doctzhouxintao@163.com.

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ORCID iD

Xingtiao Zhou <http://orcid.org/0000-0002-3465-1579>

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