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Use of saliva flow rate measurement in minor salivary glands autotransplantation for treatment of severe dry eye disease

Jia-Zeng Su ,¹ Zhen Wang,^{1,2} Xiao-Jing Liu,¹ Lan Lv,³ Guang-Yan Yu⁴

¹Department of Oral and Maxillofacial Surgery, Peking University School and Hospital of Stomatology, Beijing, China

²Department of Stomatology, Capital Medical University Affiliated Beijing Friendship Hospital, Beijing, China

³Department of Ophthalmology, Affiliated Beijing Tong Ren Hospital, Capital University of Medical Science, Beijing, China

⁴Department of Oral and Maxillofacial Surgery, Peking University School of Stomatology, Beijing, China

Correspondence to

Professor Guang-Yan Yu, Department of Oral and Maxillofacial Surgery, Peking University School of Stomatology, Beijing, China; gyyu@263.net; Dr Lan Lv; tryklvian@126.com

J-ZS and ZW contributed equally.

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ABSTRACT

Aims To use minor salivary glands' flow rate (MSGFR) measurement in minor salivary glands (MSGs) autotransplantation for the treatment of severe dry eye disease (DED).

Methods MSGs autotransplantations were performed in 18 eyes (17 patients) with severe DED. MSGFR were measured before operation. The upper or lower lip with higher MSGFR was selected as the donor site. Buccal mucosa was the back-up in cases labial MSGs showing markedly decreased MSGFRs. Two pieces of salivary lobules with the covering mucosa were harvested and transplanted to the recipient beds prepared in both upper and lower lids.

Results The donor sites included lower lip in 12 eyes, upper lip in 5 eyes and buccal mucosa in 1 eye. Postoperative follow-up confirmed viable grafts in all cases. The overall subjective relief rate of DED symptoms was 58.8%, with Schirmer test values increasing from 0 mm to 4 mm ($p < 0.05$). The mean preoperative MSGFR was 1.7 (range: 0.9–3.3) $\mu\text{L}/\text{min}/\text{cm}^2$. ROC analysis indicated an outstanding discrimination power for preoperative MSGFR to predicate postoperative relief of DED symptoms (area under the curve (AUC)=0.948, $p < 0.01$). The maximum sensitivity (100%) and specificity (72.7%) were reached at a cut-off of 1.785 $\mu\text{L}/\text{min}/\text{cm}^2$. Patients with preoperative MSGFR $> 1.785 \mu\text{L}/\text{min}/\text{cm}^2$ showed greater improvement of Schirmer test values after surgery than those with MSGFR $\leq 1.785 \mu\text{L}/\text{min}/\text{cm}^2$ ($p < 0.05$).

Conclusion MSGs transplantation proved to be useful for treating severe DED. The amount of postoperative lubrication and the treatment effect were positively correlated with preoperative MSGFR. MSGFR measurement and donor-site selection should be critical steps before the operation.

INTRODUCTION

The management of dry eye disease (DED) is a highly complicated process because of its multifactorial aetiology.^{1,2} Pharmaceutical tear substitutes and topical anti-inflammatory agents are commonly used to treat patients with DED.³ Yet, this type of treatment is not adequate for patients with severe DED conditions that are frequently caused by cicatrising conjunctivitis as a result of Stevens-Johnson syndrome, mucous membrane pemphigoid, or chemical burns.

Over the last decade, various methods have been developed to replace the natural lubrication of the eyes. For example, minor salivary glands (MSGs)

can be transplanted to the posterior lamella of the eyelids to increase ocular surface lubrication and reduce the discomfort of dry eyes. MSGs transplantation is a relatively simple procedure that can be performed with minimal surgical risks.⁴ The composition of MSG, which is relatively similar to tears, contains large amounts of albumin, immunoglobulins, growth factors, mucins and lipids.⁴ Moreover, the saliva flow rate of MSGs (MSGFR) is 2.1 to 2.9 $\mu\text{L}/\text{min}/\text{cm}^2$, which is of the same order of magnitude as the tear flow rate of around 0.6 to 1.4 $\mu\text{L}/\text{min}$.^{4,6} In addition, the lubrication of saliva from transplanted MSGs used as a tear substitute is spontaneous, continuous and endogenous. Previous studies have shown that the secretions from transplanted MSGs can maintain stable function for more than 4 years (the longest follow-up so far). It can also increase tear production, reduce ocular discomfort and improve tear film stability and other ocular-surface features.^{4,7–13} Yet, MSGs transplantation is not effective in all patients with DED. Studies have suggested that 73.7% to 100% of the patients show Schirmer test improvement, and 53.6% to 100% of the patients experience improvement of symptoms after the operation.^{4,7–12,14} The lubrication of the treated eye after MSGs transplantation mainly comes from the saliva secreted by MSGs. The secretory function of MSGs may be severely impaired in some diseases. MSGs transplantation has been widely considered for DED caused by Sjögren's syndrome or Stevens-Johnson syndrome.^{7–9,11} However, our previous study showed that the MSGFR in patients with Sjögren's syndrome or Stevens-Johnson syndrome was significantly decreased.¹⁵ Furthermore, our previous data showed that the MSGFR might vary in different subjects and in different oral sites, even for the healthy volunteers.⁵

In this study, we analysed the MSGFR of different sites in patients with DED before MSGs transplantation and accordingly chose the donor sites. The long-term follow-up results and the influence of MSGFR on the treatment effect were also evaluated. Furthermore, we shared our experience in determining the donor size, managing donor wounds, and preventing donor complications.

MATERIALS AND METHODS

Patients

Patients with severe DED were enrolled from June 2010 to October 2014. The inclusion criteria were following: persistently pronounced symptoms

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of dry eye; failure of previous ophthalmological treatments; Schirmer test value of <2 mm; a break-up time of tear-film (TBUT) value of <5 s, and positive corneal fluorescein staining (FL) during ophthalmic evaluation. The study was designed and carried out in full accordance with the World Medical Association Declaration of Helsinki (V.2002).

Ophthalmic examinations

Ophthalmic examinations, including best-corrected visual acuity (BCVA), FL, TBUT and Schirmer test, were performed before surgery. Spectacle correction was applied in BCVA measurement, and the standard logarithmic visual acuity chart was also used. In the FL test, the corneal surface was divided into four quadrants—upper nasal, lower nasal, upper temporal and lower temporal, that were individually scored. The fluorescein score was analysed as follows: 0=no staining, 1=minimal staining, 2=mild/moderate staining and 3=severe staining. The sum of the above indicators was taken as the final FL score. In the TBUT test, patients were asked to blink three times after staining. The time from the last time of eye-opening to the appearance of the first dry spot was measured three times, and the mean value was the TBUT score. Schirmer test was performed for 5 min using Whatman No. 41 paper strips without topical anaesthesia.

Patient's questionnaire

The questionnaire included two items: 'I am satisfied with the long-term treatment effect' and 'My dry eye symptoms were relieved after treatment'. Each item was measured using a 5-point Likert-type scale that ranged from 'completely disagree' (1 point) to 'completely agree' (5 points). A score of 4 or 5 was regarded as 'subjective relief of DED symptoms' or 'satisfied with the surgery'.

MSGFR measurements

MSGFR of three sites (upper labial, lower labial and buccal mucosa) was measured as previously described.^{5 15} Whatman No. 41 paper strips of 1×2 cm were used for this procedure. The overlying mucosa of MSGs was carefully dried, and the strip was placed onto the mucosa (figure 1A). Thirty seconds later, the strip was removed and placed in an air-tight container. Both the container and the strip were weighed before and after measurement on an analytical balance (Denver Instrument Co

Figure 1 Measurement of the salivary flow rate of minor salivary glands and harvesting of the grafts. (A) Measurement of the salivary flow rate of the donor sites. (B) Observing the donor site to determine the area containing most salivary drops (arrows). (C) Determining the graft's final location according to step B and harvesting of the graft. (D) The incision depth of harvesting the graft (branches of the trigeminal nerve were marked with arrows). (E) The wound of the donor sites (the nerves were marked with arrows). (F) Grafts.

Figure 2 Graft transplantation and patients follow-up. (A) Photo of the dry eye taken before the operation. (B) Preparation of the recipient bed. (C) Fixation of the graft to the recipient bed. (D and E) Four years' follow-up of the grafts. (F) Four years' follow-up of the treated eye. The presence of the moisture content on the ocular surface.

Ltd, Beijing). By defining the specific gravity of MSGs saliva as 1, flow rates were calculated and recorded in $\mu\text{L}/\text{min}/\text{cm}^2$.

Donors selection

Considering that the manipulation of harvesting the minor salivary glands in the labial mucosa is more accessible than that in the buccal mucosa, and that protection of the salivary lobules is very important for the donors' postoperative secretory function, the upper or lower labial glands with higher flow rates were selected as the donor sites. In cases where the upper and lower labial MSGFRs were much lower than that of the buccal glands, the latter were selected as donors.

Recipient bed preparation

All surgeries were performed under general anaesthesia. The recipient beds were prepared and located in both the upper and lower bulbar conjunctiva and near the fornix by the same ophthalmologist, with dissecting scissors (figure 2A,B). No surgical energy instruments or vasoconstrictors were used to protect the microcirculatory flow of the recipient beds. Symblepharon was released, and the incisions extended to the inner and lateral canthus to make the recipient beds as big as possible (figure 2B). Sizes of the recipient beds were measured to determine the sizes of the donors.

Graft harvesting and wound management

The donor site's mucosa was carefully dried with gauze and exposed under direct vision for 1 to 2 min. The saliva secreted from MSGs was shown as dewdrop (figure 1B). The area containing most salivary drops was chosen as the graft's location (figure 1B,C). According to our experience, the donor tissue size tends to shrink after being totally severed from the donor site. Thus, the graft was finally determined and marked (figure 1C) to a size of about 1.5 times the recipient bed.

The donor was harvested using a scalpel by an oral and maxillofacial surgeon (figure 1C). The incision depth was maintained just at the level between the MSGs lobules and musculus orbicularis oris to prevent injury of the branches of the trigeminal nerve or muscle (figure 1D,E). The graft was finally completely severed from the donor bed and composed of just salivary lobules and the covering mucosa (figure 1F).

The labial wound was repaired with heterogeneous (bovine) acellular dermal matrix, which was approved by the National Medical Products Administration of China in 2007 for human use of soft-tissue defects restoration of the oral cavity (Yantai Zhenghai Bio-tech Co., Ltd.) (15 cases) (figure 3A–D) or local

Figure 3 Repair of the donor wound and patients follow-up. (A and B) The repair of the lower lip's wound with acellular dermal matrix (arrows), and 4 years' follow-up. (C and D) The repair of the upper lip's wound with acellular dermal matrix (arrows), and 6.5 years' follow-up. (E) The repair of the wound of the lower lip with local rotational buccal flap. (F) Direct close of the wound of the buccal mucosa.

rotational buccal flap (two cases) (figure 3E). The buccal wound was directly closed (figure 3F).

Graft transplantation

The covering mucosa of the graft was sutured to the recipient bed with 8–0 absorbable sutures using running suture (figure 2C). The graft was anchored to the underlying orbital septum with one interrupted suture passing through the donor tissue (figure 2C) so as to make good contact between the graft and the underlying recipient bed. No other compression method was used.

Patients follow-up

Patients were followed-up for 3 months, 1 year and every year after that. Ophthalmic examinations and questionnaires were re-evaluated.

Statistical analysis

The associations between categorical variables were analysed using the χ^2 test. The associations between continuous variables were analysed using the Wilcoxon signed-rank test (two related samples), Mann-Whitney U test (two independent samples) or Kruskal-Wallis test (k independent samples). Receiver operating characteristic curve (ROC) analysis was used to evaluate the correlation between preoperative MSGFR and postoperative relief of DED symptoms. All analyses were conducted using SPSS 13.0 (SPSS Inc, Chicago, Illinois, USA). $P < 0.05$ (two-tailed) was considered statistically significant.

RESULTS

Patients

MSGs transplantations were performed in 18 eyes (17 patients; 10 women and 7 men); one female patient underwent bilateral transplantations. The aetiology for DED was Stevens-Johnson syndrome in 15 eyes and graft versus host disease in three eyes. The average medical history was 6 years (table 1). In all patients, preoperative conservative measures, including artificial tear substitutes or occlusion of tear drainage, had been proven ineffective in relieving discomfort and visual interference.

Complications

All eye grafts were successfully transplanted within 2 hours with no recorded intraoperative complication. The mean size of the donor tissues was 8.1 cm² (IQR: 6.8–10.4 cm²). Postoperative follow-up confirmed viable grafts in all cases (figure 2D,E).

Table 1 Baseline and follow-up data of the eyes

	Baseline	Long-term follow-up
General information		
Number of eyes	18	–
Sex	11 females, 7 males	–
Age	31 (range: 23–56) years	–
Aetiology	15 SJSs, 3 GVHDs	–
Medical history	6 (range: 0.7–33) years	–
Data of grafts		
Donor sites	12 low lips, 5 upper lips, 1 buccal mucosa	–
Sizes	8.1 (range: 5.8–15) cm ²	–
MSGFR	1.7 (range: 0.9–3.3) μ L/min/cm ²	–
Patients questionnaire		
Follow-up time	–	6 (range: 4–8) years
Number of eyes	–	18
Relief of DED symptoms	–	58.8%*
Satisfaction rate	–	70.6%*
Ophthalmological evaluations		
Follow-up time	–	3.5 (range: 2–8) years
Number of eyes	–	17†
Schirmer test	0 (IQR: 0–0.5) mm	4 (IQR: 1–7) mm‡
FL	12 (IQR: 10–12)	10 (IQR: 8–12)‡
TBUT	0 s	0 s in 15 eyes, 2 s in two eyes
BCVA	0.05 (IQR: 0.01–0.1)	0.05 (IQR: 0.02–0.33)

*Only the right eye was included in the patient undergoing bilateral surgeries.

†The ophthalmological examinations of the eye lost to follow-up after 3 months were not included in the analysis.

‡ $P < 0.05$.

BCVA, best-corrected visual acuity; DED, dry eye disease; FL, corneal fluorescein staining score; GVHD, graft versus host disease; MSGFR, salivary flow rate of minor salivary glands; SJS, Stevens-Johnson syndrome; TBUT, value of break-up time of tear-film.

Partial necrosis of the graft was found 5 days after the operation in one case. The remaining tissue showed good healing after local debridement. The surgical wound of the donor sites healed well in all cases (figure 3B,D). The acellular dermal matrix covering the labial wounds was replaced with autologous tissue. Local transient hypaesthesia of the lower lip was reported in 7 of the 11 cases and persisted for 2 weeks to 6 months (mean: 4 months). No complication was reported in the donor sites of the upper lip or buccal mucosa. A part of the graft in the lower lid was visible after the operation and led to cosmetic problems in two cases. In addition, no cosmetic problems of the lip, superficial gingivolabial sulcus due to scar contraction, or dry mouth were reported.

Patients questionnaire

Subjective long-term follow-up results (table 1) were obtained for all cases with a mean follow-up time of 6 years (range, 4–8 years). For analysis, only the right eye was included. Subjective relief of DED symptoms (dryness, burning, foreign body sensation, itching and red-eye) occurred in 10 out of the 17 (58.8%) eyes. Severe symblepharon was found in seven eyes, and this symptom was relieved in all cases after the operation. The overall subjective satisfaction rate of the surgery was 70.6% (12/17). Two patients who did not experience noticeable relief

Figure 4 Preoperative and postoperative ophthalmic measurements. (A) Schirmer test values. (B) Fluorescent staining scores. (C) Best-corrected visual acuity. * $P < 0.05$.

from the DED symptoms expressed satisfaction considering they got rid of the symblepharon after the operation.

Ophthalmic evaluations

Objective follow-up data (table 1) of 3 months were obtained for all eyes. A total of 94% of eyes (17/18) were followed up for more than 2 years (long-term follow-up; data were obtained with a mean duration of 3.5 years) (figure 2F). Schirmer test values significantly increased from a mean preoperative level of 0 mm (IQR: 0–0.5 mm) to 4 mm (IQR: 1–6 mm) 3 months after surgery and remained virtually unchanged with a mean of 4 mm (IQR: 1–7 mm) in the long-term follow-up (figure 4A). The mean fluorescent staining scores were significantly reduced from 12 to 10, 3 months after surgery, after which they remained stable (figure 4B). TBUT score and BCVA showed no significant differences before and after the operation (figure 4C).

Correlations between grafts and postoperative results

The donor sites included the lower lip in 12 eyes, the upper lip in 5 eyes and buccal mucosa in 1 eye. The sites showed no influence on either subject questionnaire or ophthalmic examination results (table 2).

The mean preoperative MSGFR for all grafts was 1.7 (range: 0.9–3.3) $\mu\text{L}/\text{min}/\text{cm}^2$. ROC analysis was used to evaluate the

correlation between preoperative MSGFR and postoperative relief of DED symptoms (figure 5). The area under the curve (AUC) was 0.948 (SE=0.050, 95% CI=0.850 to 1.000, $p=0.002$), indicating an outstanding discrimination power for preoperative MSGFR to predicate postoperative relief of DED symptoms. The maximum sensitivity (100%) and specificity (72.7%) were reached at a cut-off of 1.785 $\mu\text{L}/\text{min}/\text{cm}^2$ of preoperative MSGFR.

The eyes were divided into high MSGFR ($>1.785 \mu\text{L}/\text{min}/\text{cm}^2$) group ($n=8$) and low MSGFR ($\leq 1.785 \mu\text{L}/\text{min}/\text{cm}^2$) group ($n=10$). There were no intergroup differences concerning aetiological categories, preoperative ophthalmic examination results or donor sizes *et al* (table 3). The high MSGFR group had postoperative Schirmer test value of 5 mm (IQR: 4–6 mm) at 3 months, and 5 mm (IQR: 4–7 mm) at >2 years, which were significantly higher than those of the low MSGFR group, with the Schirmer test value of 1 mm (IQR: 0.5–4 mm) at the 3-month ($p=0.012$) follow-up, and 1 mm (IQR: 0–5 mm) at the >2 -year ($p=0.027$) follow-up. The postoperative results of FL, TBUT and BCVA showed no significant intergroup differences ($p>0.05$) (table 3).

Figure 5 ROC curve of preoperative MSGFR used to predict postoperative relief of DED symptoms. The area under the curve (AUC) was 0.948 (SE=0.050, 95% CI=0.850 to 1.000, $p=0.002$). The optimum cut-off point was defined as the closest point on the ROC curve to the point (X, Y) = (0, 1), where X=1-specificity and Y=sensitivity, indicating an outstanding discrimination power for preoperative MSGFR to predicate postoperative relief of DED symptoms. The optimum cut-off point of preoperative MSGFR was 1.785 $\mu\text{L}/\text{min}/\text{cm}^2$. DED, dry eye disease; MSGFR, salivary flow rate of minor salivary glands.

Table 2 Comparison of follow-up data among groups of different donor sites

	Lower lip	Upper lip	Buccal mucosa	P value
Number of eyes	12	5	1	
3 months follow-up				
Schirmer test	4 (1–6) mm	5 (2.5–6.5) mm	2 mm	0.654
FL	10 (10–12)	10 (7–11)	8	0.158
TBUT	0 (0–0) s	0 (0–0) s	0 s	0.779
BCVA	0.1 (0.01–0.4)	0.05 (0.02–0.6)	0.08	0.955
Long-term follow-up*				
Schirmer test	3 (1–5) mm	7 (2.5–7.5) mm	2 mm	0.406
FL	10 (8–12)	8 (8–11)	8	0.641
TBUT	0 (0–0) s	0 (0–0) s	0 s	1
BCVA	0.1 (0.01–0.4)	0.05 (0.03–0.6)	0.05	0.915
Relief of DED symptoms	54.5%†	80%	0	0.296

Continuous variables were shown as median (IQR).

*One eye of the lower lip group was lost to follow-up after 3 months.

†Only the right eye was included in the patient undergoing bilateral surgeries. BCVA, best-corrected visual acuity; DED, dry eye disease; FL, corneal fluorescein staining score; TBUT, the value of break-up time of tear-film.

Table 3 Comparisons of baseline and follow-up data between low and high MSGFR groups

	Low MSGFR group	High MSGFR group	P value
Baseline			
Number of eyes	10	8	
Age	33 (24.5–40.5) years	31.5 (24–43.75) years	0.897
Sex	5 males, 5 females	2 males, 6 females	0.367
Sides	6 right eyes, 4 left eyes	5 right eyes, 3 left eyes	1.0
Aetiology	9 SJSs, 1 GVHDs	6 SJSs, 2 GVHDs	0.537
Medical history	15 (6–23) years	5 (4.25–10.5) years	0.101
Donors sizes	8 (6.49–11.41) cm ²	8.13 (6.88–10.18) cm ²	0.829
Schirmer test	0 (0–0) mm	0 (0–2.75) mm	0.315
FL	12 (9–12)	12 (12–12)	0.315
TBUT	0 (0–0) s	0 (0–0) s	1.0
BCVA	0.05 (0.01–0.45)	0.09 (0.05–0.10)	0.173
3 months follow-up			
Number of eyes	10	8	
Schirmer test	1 (0.5–4) mm	5 (4–6) mm	0.012
FL	10 (9–12)	10 (8.5–10)	0.315
TBUT	0 (0–0) s	0 (0–0) s	0.696
BCVA	0.02 (0.01–0.44)	0.15 (0.06–0.4)	0.068
Long-term follow-up			
Number of eyes	9*	8	
Follow-up time	3 (3–4.5) years	4.5 (2.25–6) years	0.573
Schirmer test	1 (0–5) mm	5 (4–7) mm	0.027
FL	8 (8–12)	10 (6.5–11.5)	0.673
TBUT	0 (0–0)	0 (0–0)	1.0
BCVA	0.05 (0.01–0.43)	0.19 (0.1–0.4)	0.139

Continuous variables were shown as median (IQR).

*One eye was lost to follow-up after 3 months.

BCVA, best-corrected visual acuity; DED, dry eye disease; FL, corneal fluorescein staining score; GVHD, graft versus host disease; MSGFR, salivary flow rate of minor salivary glands; SJS, Stevens–Johnson syndrome; TBUT, value of break-up time of tear-film.

DISCUSSION

In the present study, 18 eyes with severe DED were treated using MSGs transplantation. All the grafts survived, and no severe complications were observed after transplantation. After the operation, the mean values of the Schirmer test improved and remained stable. With the lubrication from the viable grafts, the patient's ocular-surface features improved as validated by FL scores. Also, a subjective relief of the DED symptoms was achieved in 58.8% cases. The overall subjective satisfaction rate of this surgery was 70.6%. To sum up, these data suggest that MSGs transplantation is a relatively simple surgery and a good treatment choice for some patients with severe refractory DED.

The ROC analysis showed an outstanding discrimination power for preoperative MSGFR to predicate postoperative relief of DED symptoms. Intergroup comparison showed that donors with higher preoperative MSGFR rendered better Schirmer test results after operation. These results indicated that the postoperative lubrication amount and treatment effect was positively correlated with preoperative MSGFR. Besides, our results suggest that measurement of the saliva secretory function of MSGs should be a critical step in selecting patients and donor grafts before the operation. Most of the cases enrolled in the previous studies, as well as in ours, had Stevens–Johnson syndrome, followed by Sjögren syndrome and other local injuries such as chemical burns.^{4 7–12} However, in patients with Sjögren syndrome, MSGs are involved in lymphocytic infiltration,¹⁶ and

the secretory function is damaged, which results in decreased salivary flow rate.^{15 17} Thus, patients with Sjögren syndrome are not suited for salivary gland transplantation. As for Stevens–Johnson syndrome, our previous study showed that MSGFR in some patients was also significantly decreased.¹⁵ Thus, it is crucial to evaluate the secretory function of MSGs in patients with Stevens–Johnson syndrome before transplantation. Sant' Anna *et al* reported a significant increase in tear production in the eyes that received more than 10 glands per graft compared with those that received fewer glands.¹¹ However, if the glands' secretory function is impaired, the graft with big size and more glands might still contribute to poor lubrication. We believe that the MSGFR should be directly measured before transplantation to exclude patients with severely decreased MSGs function.

The ROC analysis provided the sensitivity of 100% and specificity of 72.7% for using the cut-off of 1.785 $\mu\text{L}/\text{min}/\text{cm}^2$ of preoperative MSGFR to predict postoperative relief of DED symptoms. For the same kind of patients with DED of this study, with the similar surgical methods and processes, this cut-off value might be taken as a reference for patients' selection for MSGs transplantation. However, limited by the sample size, we could not conduct multivariate analysis to give more powerful evidence and more universal cut-off of preoperative MSGFR for patients' selection. This is the main limitation of this study.

Graft size was determined according to the most significant capacity of the recipient beds in this study. The mean size was 8.1 cm², which was much bigger than that reported in other studies.^{4 7 9–11} All the grafts survived. For the donor sites, the labial wound was repaired with local rotational buccal flap in the early stage and acellular dermal matrix afterward. Both methods achieved satisfactory results with only mild, temporary complications of the donor sites. In contrast, the acellular dermal matrix would be simpler with lesser damage. Thus, it is safe to harvest and graft a big MSG tissue piece to obtain more lubrication for the eye.

In this study, all surgical operations were performed following the key surgical steps proposed by Geerling *et al*.⁴ This included protecting the MSG lobules from either mechanical or high-energy injury and the graft's fixation to the recipient bed. Besides, we proposed that the incision depth for harvesting the graft should be just at the level between the MSG lobules and muscles, where the distribution of the sensory nerves was found (see figure 1D,E). This can help to avoid injuries to the muscles and nerves and prevent postoperative cosmetic and sensory complications of the lips, mainly when a big graft is harvested.

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Competing interests None declared.

Patient consent for publication Not required.

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ORCID iD

Jia-Zeng Su <http://orcid.org/0000-0003-0569-8033>

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